#### **B-HIP Final Report Appendix**

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#### **Appendix 1: B-HIP Timeline**

*March 1*, 2009 – Official Project Start Date (Contract period 3/1/09 – 2/28/11)

July 31, 2009 – Execution by NYS Comptroller of B-HIP contract with State

*November 2009* – First funding disbursement

January – March 2010 – Project Coordinator, Canvassing Study and Administrative Assistant hired

March 2, 2010 – First meeting of Steering Committee

*April – June 2010 –* B-HIP team visits to individual coalition partners

June 10, 2010 – 1st Coalition meeting

July 19, 2010 – Canvassing study launched

August 20, 2010 – Canvassing of providers in all 15 targeted zip codes completed

September 2010 – Request submitted to State for "no-cost" extension to September 2011

September 30, 2010 – IRB approvals from all 6 hospitals received for ED studies

*November 18*, 2010 – Mission and Vision approved at Coalition meeting

January 2011 – 1st round ED patient survey, part 2 completed

January 21, 2011 – Presentation of preliminary B-HIP findings to NYS DOH in Albany

*March*, 2011 – ED staff survey completed

April 28, 2011 – B-HIP staff meet again with NYS DOH in Albany for information sessions

June 2011 - Community Advisory Board formed

August 2011 – 2nd round ED patient survey completed

August 2011 - PI Grace Wong gave testimony to the Medicaid Redesign Team (MRT) Brooklyn Work

Group

September 21, 2011 – B-HIP presentation to Brooklyn MRT

1st Quarter 2012 – Finalization of data analysis

2nd Quarter 2012 - Formulating recommendations as a group, aided by Community Advisory Board

#### **Appendix 2: B-HIP Coalition Member Profiles**

State University of New York (SUNY) Downstate Medical Center is the sponsor of the B-HIP. SUNY Downstate is one of the nation's largest urban medical centers and the only academic medical center for the nearly five million people living in Brooklyn, Queens, and Staten Island. SUNY Downstate includes Colleges of Medicine, Nursing and Health Related Professions, a School of Graduate Studies and a School of Public Health. The two clinical inpatient campuses, University Hospital of Brooklyn at Flatbush and University Hospital at UCH serve a very large and diverse population in the communities of Central and Northern Brooklyn. Numerous departments and offices contributed to the B-HIP including the Division of Managed Care and Clinical Business, Office of Planning and the School of Public Health.

The New York City Department of Health and Mental Hygiene (NYC DOHMH) is the local health department. Its mission is to conduct regulation, education and research aimed at improving the entire spectrum of local public health-related services. The DOHMH's evidence-based approach to improving the health of New Yorkers continues to set the standard for national public health programs. NYC DOHMH is an important source of local health care information/statistics, and databases utilized by the B-HIP and its personnel have provided substantial expertise related to design/implementation of the Project's research studies on community health care resources.

The Brooklyn Borough President's Office (BBPO). The Brooklyn Borough President (Marty Markowitz) is an advocate for Brooklyn residents, representing the borough's interests and priorities within city government and at the state and federal level. His foremost policy priorities include finding effective ways to create more affordable housing, improve public education, eliminate health disparities, and keep crime down, while promoting economic development that expands opportunity for all residents. Among other things the Borough President works on the borough and citywide annual, reviews major land use decisions and proposes sites for city facilities within Brooklyn, and also convenes hearings to receive city agencies' testimony on issues of public concern. The BBPO has provided free meeting facilities to the B-HIP Coalition since the beginning of the project.

Hospital partners (SUNY Downstate Medical Center/University Hospital of Brooklyn, Kingsbrook Jewish Medical Center, Kings County Hospital Center, Interfaith Medical Center, Brookdale University Hospital & Medical Center, and Woodhull Medical & Mental Health Center) include six major medical centers serving Northern and Central Brooklyn. Each shares a primary mission of providing high quality medical care for patients. All are fully-licensed, Article 28 hospitals with ambulatory care, inpatient, and emergency department services.

Health plan partners are Healthfirst, HealthPlus/AmeriGroup, Aetna, Wellpoint/Empire BCBS, MetroPlus Health Plan, Emblem-HIP/GHI, Neighborhood Health Providers, 1199 NBF and United Health Care. The nine insurance company members include both commercial and Medicare/Medicaid plans. The basic mission for each organization is to finance high quality medical care for its members, in the most cost effective manner possible. The health plan partners' databases of participating providers, members health utilization and associated charges have informed the B-HIP statistical analyses on preventable ED use and hospitalizations as well as primary care utilization.

Community health center partners are the Bedford Stuyvesant Family Health Center (BSFHC) and the Brownsville Multi-Service Family Health Center (BMS). The essential mission of both organizations is the provision of quality primary health care in community settings. Both are Federally Qualified Health Centers and have achieved Patient Centered Medical Home recognition by

the National Committee on Quality Assurance. Serving the residents of Bedford Stuyvesant, Crown Heights, Bushwick and Fort Greene for over 27 years, BSFHC offers comprehensive primary care and preventive services - including prenatal health, OB/GYN, chronic disease management, HIV/AIDS prevention and treatment, dental, pediatrics, and nutritional education. Serving Brownsville and Central Brooklyn since 1982, BMS provides and promotes integrative and high quality medical, dental, and social services to enable every individual and family in the communities it serves to achieve total health.

The Brooklyn Chamber of Commerce. As a community that supports and advocates for its member businesses, the BCC's mission is to promote a healthy and robust business environment in Brooklyn by advancing public policy, new technologies, products, services and programs that support and promote a vibrant local economy.

**Brooklyn Congregations United (BCU).** Founded in 2007, BCU is a multi-ethnic, congregation-based community organization developing powerful grassroots community leaders and building strong relational networks within and among congregations to change conditions for families in Brooklyn in particular health care. Its coalition includes faith-based Local Organizing Committees from 22 member congregations comprised of 10,000 congregants across Brooklyn.

Brooklyn Perinatal Network (BPN). Established in 1988, BPN is a network of community organizations committed to improving the health and well-being of youth and families through linkage to culturally appropriate services to optimize health. BPN enables at-risk residents to access vital information, coordinate care, supportive health and social supportive services and secure public health benefits. BPN also facilitates collaboration and partnership to coordinate services and affect public policy.

**CAMBA.** Based in Central Brooklyn CAMBA is a non-profit agency that provides services that connect people with opportunities to enhance their quality of life. Established in 1977, CAMBA serves more than 35,000 individuals and families, including 8,000 youth, each year, providing family support services, HIV/AIDS services, housing and economic development, education and youth development, and legal services.

**Caribbean American Chamber of Commerce (CACCI).** Founded in 1985, CACCI is a statewide membership organization with expertise in providing business assistance to small and start-up business owners, in areas of business planning, financing, and certification.

Caribbean American Women's Health Association (CWHA). For three decades CWHA has provided comprehensive and culturally-sensitive health, immigration and social support services to low income, minority and immigrant women and families in Brooklyn. CWHA also serves as an advocacy group and strongly relies on community involvement in planning, implementation and evaluation of programs that meet the community's health and social support needs.

The Christopher Blenman and St. Gabriel's Senior Centers are both fully licensed senior centers located in Brooklyn. Each has a core mission of promoting the health and well-being of senior citizens served through their programs. These programs' personnel and clients provided unique perspectives concerning ED/primary care issues pertinent to senior citizens.

**The Coalition of Behavioral Health Agencies, Inc.** is the umbrella advocacy organization of New York's behavioral health community. It represents over 100 non-profit community based behavioral health agencies serving more than 350,000 clients in the five boroughs of New York City and beyond. Founded in 1972, the Coalition provides advocacy, training and technical assistance projects.

**Local Community Boards (CBs).** There are 59 of these local representative boards throughout the New York City and 18 in Brooklyn. CB members are selected by the Borough Presidents and City Council from among active, involved people of each community, with an effort made to assure that every neighborhood is represented. Meetings occur monthly, are open to the public and a portion of each meeting is reserved for the CB to hear from members of the public. The CBs also regularly conduct public hearings on various issues to solicit community input.

**Novartis Pharmaceuticals Corporation.** A US affiliate of Basel, Switzerland-based company, Novartis researches, develops and markets patent-protected prescription drugs for important health needs. Novartis believes that all Americans deserve access to quality health care, including prescription medicines, education about their disease or condition, and information on the medicines they take.

The Primary Care Development Corporation (PCDC). PCDC is a not-for-profit organization dedicated to expanding access to timely, effective primary and preventive care by providing capital investment, performance improvement, consulting and training services to primary care providers in underserved communities. PCDC also leads and supports successful policy initiatives that increase access to quality primary care, improve the health of communities, and lower health system cost.

United Hospital Fund (UHF). Founded in 1879, the UHF is a nonprofit health services research and philanthropic organization whose primary mission is to shape positive change in health care for the people of New York. the Fund has played a central role in addressing critical health care issues facing New York, and in the founding of many of the organizations and institutions that today help define the city's health care landscape, including the Greater New York Hospital Association, Empire BlueCross BlueShield, United Way of New York City, and the New York Blood Center. More recently, the Fund has supported the creation of PCDC, New York City AIDS Fund, New York Society for Health Planning, and New York Cares.

WCBS Community Partnerships. WCBS works with non-profit organizations, government agencies and socially responsible private sector companies to create multi-media campaigns designed to influence the voluntary behavior of target audiences, tailored to the unique perspective, needs, and experiences of each target audience for the purpose of inducing social change in order to improve their personal welfare and that of their society.

#### Appendix 3: B-HIP Staff – Includes in-kind as well as paid

#### **Present Staff:**

Kim Brown, Assistant to the Vice President, Office of Planning

Lori Bruno, MPA, Associate for University Planning

Eleanor Chin-Wardwell, MBA, Researcher/Analyst

Dorothy Fyfe, MPA, Co- Investigator

Michael Gusmano, PhD, Consulting

Michael Lucchesi, MD, MPH, Adviser

Nkiruka Nwokoye, JD, Project Coordination Support

John Trombley, MBA, Sr. Financial Analyst

Nancy Victor, MHS, MPA, Senior Planning Associate

John Vona, GIS Programmer

Dan Weisz, MD, MPA, Senior Researcher

Grace Wong, MBA, MPH, Principal Investigator

#### Former Staff:

John Adelaine, Volunteer Organizer

Latasha Allen, MPH, Planning

Howard Berliner, ScD, Researcher

Vincent Brewington, Grant Writer

Robb Burlage, PhD, Project Director,

Russell Flood, MD, Adviser

Jordana Kritzer, MD, Community Organizer, Analyst

Priya Pasram, MPH, Project Coordinator

Marcia Pinkett-Heller, MPH, Group Discussion Facilitator

Paule Seide, Administrative Assistant

Jeanne Stellman, PhD, Co-Investigator

Canvassers

**ED Surveyors** 

#### **Appendix 4: Compact Disc**

#### **Contains:**

- 1. Canvassing Project Provider Directory for Northern and Central Brooklyn
- 2. B-HIP GIS Files/Data Warehouse

#### List of GIS Files<sup>1</sup>

- A. **Focus Study Area** created from the boundaries of 15 United States Postal Service Zip Codes. The source zip code data was obtained from the New York State GIS Clearinghouse. <a href="http://gis.ny.gov/">http://gis.ny.gov/</a>
- B. **Hot Spots** created from the boundaries of the 2010 United States Census Tracts. The tracts were chosen based on highest ACSC Rates of Total Admissions and then checked against ACSC Rates of ED Visits that formed into a cluster in an area. The source census tract boundaries are at <a href="http://www.census.gov/">http://www.census.gov/</a>
- C. **Canvas Data** created by geocoding the address table of all canvassed locations. The geocoding service used to perform this function was provided by the New York City Department of City Planning LION street file. This file was created by B-HIP. <a href="http://www.nyc.gov/html/dcp/">http://www.nyc.gov/html/dcp/</a>
- D. **Institutional Data** (Hospital/Clinic Affiliated) created by geocoding the address table of all surveyed Hospital locations. This table was created by B-HIP.
- E. **Disease Types** created from New York State SPARCS data addresses that were geocoded to the New York City Department of City Planning LION street file and then clipped to the 2010 United States Census Tracts. This file was created by B-HIP.
- F. **Insurance Types** created from New York State SPARCS data addresses that were geocoded to the New York City Department of City Planning LION street file and then clipped to the 2010 United States Census Tracts. This file was created by B-HIP.
- G. **2010** Census Data census tract boundaries and demographics data were downloaded from the United States Census Bureau. Tables were joined by tract numbers for population and income data. <a href="http://www.census.gov/">http://www.census.gov/</a>

#### GIS Methodology

A Geographic Information System (GIS) integrates hardware, software, and data for capturing, managing, analyzing, and displaying all forms of geographically referenced information. GIS allows

<sup>&</sup>lt;sup>1</sup> All shapefiles provided are spatial located in coordinate system NAD 83 State Plane New York Long Island FIPS 3104 Feet

us to view, understand, question, interpret, and visualize data in many ways that reveal relationships, patterns, and trends in the form of maps, globes, reports, and charts.<sup>2</sup>

The GIS files created and used for the B-HIP were produced from various software packages: Microsoft Access, Microsoft Excel, IBM SPSS and ESRI ArcGIS. All of the data was fed into an ESRI geo-database for spatial location into state plane coordinates for visualizing onto a map of Brooklyn, New York.

The methodology used to create these GIS layers included tracing of the 15 US Postal Zip Code boundaries to determine the focus study area. In addition, we geocoded the address points of SPARCS data based on the New York Department of City Planning LION street layer. The resulting point file was then clipped to the 2010 US Census Tract boundaries. Frequencies were then run in SPSS to determine the ACSC rates based on census tracts using 2010 population figures. Disease rates and insurance types were also determined using this same methodology. By mapping these rates, we were able to determine high need areas geographically on the map and determine our Hot Spot locations.

Canvassing and Institutional data was entered into an Access database and then geocoded using the same method for locating each provider location.

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<sup>&</sup>lt;sup>2</sup> http://www.esri.com/what-is-gis/overview.html

#### **Appendix 5: Canvassing and ED Survey Instruments**

	C anvasser:_	CD #: Between	<u> </u>
LOW	UP NEEDED:	osed □ Refusal to Participate □	☐ Other
ice Na	me: (Collect a Business Card)		
ess:			
	t:		
ne:		Web page:	
ndary I	Number:	Fax:	
	Whee	(check all that apply): □ Parking Lot □ Richair access: □ Yes □ No .	
	the type of Practice?		
	Medicine		these is selected, please
	Family Practice / General Pra Women's Health	move on	to Question 2.
	Dedictrics		
	Surgery		
	Dental		
	Podiatrist - Foot Specialist		
	Vision	If one of	these is selected, please
	Physical Rehabilitation Center	move on	to Question 5.
	Drug Addiction Treatment (Su	ubstance Abuse)	
	Behavioral / Mental Health		
	Acupuncture		
	Chiropractor		
	Pharmacy	move on	these is selected, please to Questions 6 through 9 the Survey.
	Radiology	If one of	these is selected, please
H	Laboratory		to Questions 7 through 9
			the Survey.
	Herbalist	If one o	f these is selected,
	Botanica		<del> </del>
	Botanica	nlease i	move on to Questions 8

		□ Solo Practice					
□ Shared Office Space							
		☐ Single Specialty Partnership or	grou	ıp pract	tice		
		☐ Multi-specialty partnership or g	roup	practic	e		
Other:							
3. Wh	at types of spe	ecialty care does your practice offer	On S	SITE? (	(check all that apply)		
	☐ Allergy	/immunology			Ophthalmology		
	☐ Behav	ioral health			Orthopedics		
	☐ Cardio	logy			Pediatrics		
	□ Derma	tology			Podiatry (for diabetes patients)		
	☐ Endoc	rinology			Psychiatry		
	□ ENT (£	Ear, Nose and Throat)			Pulmonology		
	☐ Gastro	enterology			Substance abuse		
	☐ Gynec	ology / Women's Health			Surgery (type)		
	☐ Hemat	ology/oncology			Surgery (type)		
	☐ Infection	ous disease			Urology		
	☐ Mental	health			Internal Medicine		
	☐ Nephro	ology			Other		
	☐ Neurol	ogy			Other		
	□ Nutritio	on			Other		
4. Wh	at diagnostic p	procedures do you offer <u>ON-Site</u> ? ch	eck a	all that a	apply)		
		· —					
	☐ CT (cc	mputed tomography) scan			EGD ( Esophagogastroduodenoscopy)		
	☐ X-ray				Colonoscopy		
	☐ MRI (N	Magnetic Resonance Imaging)			Sigmoidoscopy		
	☐ Ultraso	ound					
	□ Mamm	nography			Carotid Doppler		
	☐ Colpos	scopy			Echo Cardiogram		
					EKG		
	☐ Blood	Drawing			Lower Extremity Doppler	_	
	_	nen Collection – Hair			Nuclear/Pharmacological Stress Echo		
						_	
		nen Collection – Skin			Stress Test	_	
		nen Collection – Stool	$\vdash$		Other	_	
		nen Collection – Urine	$\vdash \vdash$		Other		
	☐ Sampl	e Testing – Blood analysis			Other		
	☐ Sampl	e Testing – Urine analysis			Other		

2. Would you describe the practice as a:

5a., 5b. & 5c. What is the make up of your provider staff?

Please select all that apply

Туре	How many of each on Staff?	How many hours per week total do they ALL see patients?
MD Medical Doctor		
<b>DO</b> Doctor of Osteopathic Medicine		
FNP Family Nurse Practitioner		
<b>NP</b> Nurse Practitioner		
PA Physicians Assistant		
MSN Master Nursing		
RN Nurse		
LPN Licensed Practical Nurse		
Medical Assistant		
DDS Doctor of Dental Surgery		
<b>DMD</b> Doctor of Dental Medicine		
Optometrist		
Optician		
DPMs Podiatrist		
Rehabilitation Therapist (substance abuse)		
LMSW Licensed Master of Social Worker		
LCSW Licensed Clinical Social Worker		
Psychotherapist		
PT Physical Therapist		
<b>DPT</b> Doctor Physical Therapist		
<b>OT</b> Occupational Therapist		
DC (chiropractor)		
LAc (acupuncture)		
Dietician		
Other (1)		
Other (2)		
Other (3)		
Other (4)		

Does your practice/pharmacy provide vaccinations?							For P	harmacies C	NLY!
6. Does yo	our practice/	рпаппасу рго		Yes, all year				s your Pharr orimary care	
				Yes, seasonal	ly (i.e. Flu)			Yes □ No	
				No				103 🗆 110	
				Does not appl	у				
7. What lar	nguages oth	er than Englis	h are spoken l	by your <u>Clinic</u>	cal staff? снеск	ALL T	HAT APPLY		
☐ Spanish		☐ Ara	abic		Farsi			Polish	
☐ French		□ Ва	ngla		Greek			Russian	
☐ Haitian (	Creole	☐ Ch	inese-Cantones	se 🗆	Hindi			Urdu	
☐ Hebrew		☐ Ch	inese-Mandarin		Korean			Yiddish	
☐ Sign Lar	nguage							Other	
8. What lar  Spanish French		☐ Ara	h are spoken l abic ngla	by your <u>NON</u>	- clinical staff?  Farsi  Greek	? сне	CK ALL THAT	APPLY Polish Russian	
☐ Haitian (	Creole	☐ Ch	inese-Cantones	se 🗆	Hindi			Urdu	
☐ Hebrew		☐ Ch	☐ Chinese-Mandarin ☐		Korean			Yiddish	
☐ Sign Lar	nguage							Other	
9. What are your days and hours of operation (appointment hours)?  DAY Open Close NOTES									
	□ Mon	ПАМ	□РМ	ОАМ ПРМ					
	□ Tue	ВАМ	□рм	□АМ □РМ					
	□ Wed	ПАМ	□рм	□АМ □РМ					
	☐ Thurs		□рм	□ам □рм					
	□ Fri		□РМ						
	□ Sat		□РМ						
	□ Sun		□РМ	<u></u>					
·									_

10. Approximately how many patients/clients does your practice/office see in a WEEK? \_\_\_\_\_

#### NOW I WOULD LIKE TO ASK A FEW QUESTIONS ABOUT YOUR OFFICE POLICIES

TH	IESE QU	IESTIONS SHOULD ON	LY BE AS	KED AT OFFICES			
11. <i>A</i>	11. Approximately how many patients a week are same day appointments?						
12. <i>A</i>	Approxi	mately how many pa	atients a	week are walk-i	ins(pati	ents who come in without an appointment)?	
13. '	13. What insurance plans do you accept? CHECK ALL THAT APPLY						
		1199 SEIU NBF				HEALTH PLUS	
		AETNA				HEALTHFIRST	
		AFFINITY				HEALTHNET	
		AIM				MAGNA HEALTH	
		AMERICHOICE				Medicaid	
		AMERIGROUP				Medicare	
		AMIDA CARE (VIDA	CARE)			METROPLUS	
		ATLANTIS				NEIGHBORHOOD HLTH PROVIDERS	
		BEACON HEALTH S	TRATEG	BIES		OXFORD Freedom	
		CARE CORE				OXFORD Liberty	
		CIGNA				PHCS/ MULTIPLAN	
		DORAL DENTAL				TOUCHSTONE	
		EBCBS (Empire Blu	e Cross	& Blue Shield)		TRICARE	
		ELDERPLAN				UNITED HC	
		EMBLEM – GHI				UNITED HC EMPIRE PLAN	
		EMBLEM – HIP				WELLCARE	
		FIDELIS				OTHER: Please Specify	
		FIRST HEALTH				DO NOT ACCEPT INSURANCE	
14. Is your practice accepting new patients?  ☐ Yes, with insurance ☐ Yes, regardless of insurance ☐ No							
15.	How lo	ng does it take to ge	t the firs	t appointment (f	for new	patients)?	
				1 day			
			_ \	Within one wee	k		
				1 Week <i>(7 day</i> s	s)		
	☐ 2 Weeks (14 da						

3 Weeks or more (21 or more days)

#### THE LAST FEW QUESTIONS ARE ABOUT HOW PATIENTS RECEIVE ADDITIONAL CARE

#### Referrals:

16. Where do your doctors have admitting privileges? CHECK ALL THAT APPLY

Beth Israel Medical Center – Kings		Lutheran Medical Center
Brookdale University Hospital		Maimonides Medical Center
Brooklyn Children's Center		New York Community Hospital
Brooklyn Hospital Ctr – Downtown		New York Methodist Hospital
Coney Island Hospital		SUNY Downstate at Bay Ridge Urgent Care Center
Interfaith Medical Center		University Hospital of Brooklyn SUNY Downstate
Kings County Hospital Center		VA New York Harbor Healthcare System
Kingsborough Psychiatric Center		Woodhull Medical & Mental Health Center
Kingsbrook Jewish Center		Wyckoff Heights Medical Center
Long Island College Hospital		Other, please specify:
		No admitting privileges in Brooklyn

Site:			Interviewer ID:				
Brookdale1	☐ Interfaith2		Date:/				
☐ Kingsbrook3	☐ KingsCounty4		Day of the Week				
☐ UHB5	☐ Woodhull 6		Time of survey: AM PM				
First Law asing to	and a face acception to find act a list	J hi	t about van Nata this comments commistally				
anonymous.	ask a few questions to find out a litt	ie bi	t about you. Note this survey is completely				
1. Who are you here	for? (tell us as many as apply)						
	ourself						
	ly Child						
	My Spouse	_					
			d-Parents/ Niece/ Nephew / In-law / Sibling				
	/ly Employer: I work as a Nurse's Aide or F	lome	Healthcare Attendant				
f. 🗌 C	Other, please specify						
2. Record Sex: get f	rom board if available a.   Female	b	o. Male				
<ol><li>Can you share you</li></ol>	r age? How old is the patient? Get from	boar	rd if available				
a. □ l	Jnder 18 e.		65 – 74				
	18 - 24 f.		75 and Over				
c. 🔲 2	25 – 44						
d. 🔲 4	45 – 64 Z.		Prefer not to answer				
4. Would you conside	er yourself to be of Hispanic, Latino, or Spa	anish	Origin				
a. 🗌 Ye	es – Mexican Am., Chicano						
b. 🗌 Ye	es – Puerto Rican						
<del></del>	es – Dominican						
	es – Other Hispanic, Latino, or Spanish Or	-	please specify				
	o – Not Hispanic, Latino, or Spanish Origin	1					
z.   <i>Pi</i>	refer not to answer						
5. What race/ethnicity	do you consider yourself? (Does the pati	ent id	lentify with)?				
a. 🗌	Black or African Am		e.				
b. 🗌	White		f. Other, please specify				
	American Indian or Alaska Native		<del></del>				
d.	Asian		Z.   Prefer not to answer				
6. In addition to Engli	sh, what language do you speak at home?	?					
a. 🔲	Spanish	g.	□ Urdu				
b. 🗌	French	h.	☐ Yiddish				
c. 🗌	Haitian Creole	i.	Other, please specify				
d. 🔲 .	Arabic						
е. 🗌	Chinese	Χ.	☐ Only speak English at home				
f. 🗌	Russian	Z.	☐ Prefer not to answer				

7.	. Were you (the patient) born in the US?	
	a. 🗌 Yes z.	☐ Prefer not to answer
	b. No, other Country:	
8.	Do you live in Brooklyn?	
	a. Yes, please tell what neighborhood	z. Prefer not to answer
	b. No, please tell us where	
9.	How long have you lived at your current address?	
	a. Under one Year x.	. I do not have a permanent address (go to 11)
	b. 1 to 5 Years z.	. Prefer not to answer (go to 11)
	c. More than 5 Years Skip to question 11 if o	chosen
10.	<ol><li>How many addresses have you lived at in the last 3 years? _</li></ol>	
11.	1. Do you have health insurance? We are not taking your name	ne or any information to identify you – your answer will not be shared
	and will not affect your treatment in any way.	
	a. 🗌 Yes - Medicare	
	b. 🗌 Yes - Medicaid	
	c. 🔲 Yes - Medicaid Managed Care	
	d. 🔲 Yes - Family Health Plus	
	e. Yes - Child Health Plus	
	f. Yes - Other Insurer, please specify:	
	x.   No, I do not have health coverage.	
	z. Prefer not to answer	
No	low I would like to ask a few questions on how you ge	t/receive healthcare.
12.	2. Do you have a Primary Care Physician (PCP)/Family Doctor?	?
	a.  Yes - I have one <b>but I do not use him/her.</b>	
	I. (probe gently – record verbatim) Why not_	
	b. 🗌 Yes	
	Please tell us the name if known:	
	II. Can you share the address:	
	c. 🔲 I don't know	
	z. Prefer not to answer	
12	3. If this ED was not available, where also would you so? ( sho	ok all that anniv
13.	3. If this ER was not available, where else would you go? <i>( ched</i>	
	a. Primary Care Physician	e. Another ER
	b. Walk-in Specialty Clinic	f. Other, explain
	c. Urgent Care Center	
	d. Ambulatory Care Center	z.

NOTE TO SURVEYOR: IF YOU DO NOT UNDERSTAND/RECOGNIZE THE FACILITY THE RESPONDENT GIVES, **PROBE FOR MORE CLARITY**. FOR INSTANCE, IF THEY SAY BAYSIDE, ASK, "WHAT KIND OF FACILITY IS BAYSIDE?" IN THE EVENT THAT THERE IS NO CLARITY, TRY TO GET THE FULL NAME AND ADDRESS OF THE PLACE AND WRITE IT IN THE **OTHER** CATEGORY.

14. Willy did you doine to the Livior date instead of se	eeing another doctor? (choose only one)
Wait for their answer then clarify if necessary: "	'so you would say it is because of
<ul> <li>a.</li></ul>	an
b.  This is where I come for my care	
c.  This was an emergency	
d.  Told to come here by	My PCP/Doctor
-	Nurse
-	ctor Office closed.
	too long for appointme
h.  It is cheaper than my PCP/Doctor	
i.  \[ \] I wanted a second opinion	
<u> </u>	
z   Prefer not to answer	
15. Why did you decide to come to this Emergency Ro	oom for care? (check only one)
Wait for their answer then clarify if necessary: "s	so you would say it is because of
a.	ought me (if chosen, skip to question 17).
b. Closest Hospital to me	
c. Good Reputation/ Well known	
d.   I came here because I have no health	n insurance
e.	
f. My medical records are at this hospita	al
g. My doctor told me to go to this ER	
h. Past Experience with this hospital	
i. Wait time here is shorter	
<u> </u>	
z. Prefer not to answer	
2. Trotor not to unower	
16. How did you get to the hospital/Emergency Roor	m?
a.	
b.  Walked here	
c. Took Public Transportation (Bus.	s/Train)
·	ily/ taxi/ car service/ driving themselves)
a	The take our oblition arming tromostrocy
17. If you are comfortable with this, can you share with	* * *
to the emergency room? (they may choose up to 3	
a.	i. Headache, Dizziness
b. Alcohol/Drug Use	j. Maternity Care
c. Breathing problems	<ul> <li>k.  \sum Need a prescription filled or refilled</li> </ul>
d. Chest Pain	<ol> <li>Skin Rash or Skin Problem</li> </ol>
e.   Tooth ache	m.   Sore Throat
f.	n. Stomach pains
g. 🗌 Fever	o.   Surgery Follow up
h.	p.
LAST Question	
18. Is there a Walk in Clinic in your neighborhood?	
•	r
b. No	
c.	

#### SCHOOL OF PUBLIC HEALTH, SUNY DOWNSTATE- BROOKLYN HEALTHCARE IMPROVEMENT PROJECT (B HIP)

Site:		Interviewer ID:					
☐ Brookdale1	⊠ Interfaith2	Date:/					
Kingsbrook3	☐ KingsCounty4	Day of the Week					
☐ UHB5	☐ Woodhull6	Time of survey: AM PM					
First I am going to ask a few que bit about you. Note: This surve not have to give your name.		What time did patient arrive?(AND DAY if different:					
1. Who are you here for? (tell	us as many as apply)						
☐ Yourself ☐ My Child ☐ My Spouse ☐ A Relative: (Cousing Grand-Parents/ Nie	s/ Aunt/ Uncle/ Parents/ ce/ Nephew / In-law / Sibling)	<ul><li>☐ My Employer:</li><li>(I work as a Nurse's Aide or</li><li>☐ Other, please specify</li></ul>	·				
2. Sex of Patient:  Fem.	ale 🗌 Male 🔲 Unknown						
3. Can you share your (the pa	tient's) age?						
☐ Under 18  If under 18, ask exact age: ☐ months / ☐ ye		☐ 65 – 74 ☐ 75 and Over ☐ <i>I don't know</i>	☐ Prefer not to answer				
4. Would you consider yourse	elf (the patient) to be of Hispa	nic, Latino, or Spanish Origin?					
☐ Yes – Puerto Rican		☐ Yes – Other <i>please sp</i>	ecify				
☐ Yes – Dominican		☐ No – Not Hispanic, Lat	ino, or Spanish Origin				
☐ Yes – Panamanian		☐ I don't know					
☐ Yes – Mexican Am.,	Chicano	☐ Prefer not to answer					
5. What race do you consider	yourself (Does the patient ide	ntify with)?					
☐ American Indian/ Ala	aska Native	☐ Mixed Race/More than	one race				
Asian / Pacific Island	der (i.e Chinese)						
☐ Asian Indian/ South	East Asian/ East Indian	☐ Other, please specify _					
☐ Black		☐ I don't know					
☐ White		☐ Prefer not to answer					
6. In addition to English, wha	t language do you (the patient	speak at home?					
☐ Arabic		☐ Spanish					
☐ Bangla (Bengali)		☐ Sign Language					
☐ Chinese		Other:					
☐ French		ONLY speak ENGLIS	on (skip to Q7)				
☐ Haitian Creole		☐ I don't know					
Russian		☐ Prefer not to answer					
>>> 6b. What is the main lang	uage you speak at home? _						

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#### SCHOOL OF PUBLIC HEALTH, SUNY DOWNSTATE- BROOKLYN HEALTHCARE IMPROVEMENT PROJECT (B HIP)

7.	Were you (the patient) born in the US?	
	☐ Yes	☐ I don't know
	☐ No, other Country:	☐ Prefer not to answer
_		
8.	Do you (the patient) live in Brooklyn?	
	Yes, please tell the Neighborhood & Zip Code:	☐ No, please tell us where
		☐ I don't know
		☐ Prefer not to answer
9.	How long have you (the patient) lived at your current address	ss?
	☐ Under one Year	☐ I (the patient) do not have a permanent address
	☐ 1 to 5 Years	☐ I don't know
	☐ More than 5 Years (skip to Q11)	☐ Prefer not to answer
10.	How many addresses have you (the patient) lived at in the la	ast 3 years? Prefer not to answer
11.	Do you (the patient) have health insurance? We are not take	ing your name or any information to identify you – your answer will
	not be shared and will not affect your treatment in any way.	
	☐ Yes - Medicare	Yes - Other Insurer, please specify:
	Yes - Medicaid	
	Yes - Medicaid Managed Care	
	☐ Yes - Family Health Plus	☐ No, I do not have health coverage.
	Yes - Child Health Plus	☐ I don't know
		☐ Prefer not to answer
	NOW I WOULD LIVE TO ACK A FEW OUTSTICK	IS ON HOW YOU CET/DECEN/E HEALTHCADE
	NOW I WOULD LIKE TO ASK A FEW QUESTION	NS ON HOW YOU GET/RECEIVE HEALTHCARE.
12.	Do you have a Primary Care Physician (PCP)/Family Doctor	r?
	□ No	
	☐ I don't know if I have a PCP/Family doctor	
	☐ Prefer not to answer question	
	☐ Yes	
	> Can you tell me the name of your PCP?	
	> Can you share the Address?	
	>> When did you last see your PCP?	
	IF WITHI	N LAST 12 MONTHS SKIP TO Q13
>>	> 12b. GENTLY PROBE TO FIND OUT WHY. i.e. "Why haven"	T YOU SEEN YOUR DOCTOR?"
	☐ I haven't been ill	
	☐ Prefer not to answer	
	☐ I don't use him/her because	

#### SCHOOL OF PUBLIC HEALTH, SUNY DOWNSTATE- BROOKLYN HEALTHCARE IMPROVEMENT PROJECT (B HIP)

13.	. If this ER was not available, where else would you (the patient)	go? ( c	heck all	that apply)
>>	IF YOU DO NOT RECOGNIZE THE FACILITY, <b>PROBE FOR MORE CLARITY. i.e</b> :, IF I <u>YRIDGE</u> ?" IN THE EVENT THAT THERE IS NO CLARITY, TRY TO GET THE FULL N	F THEY A	NSWERED	BAYRIDGE, ASK, "What kind of facility is
<u> </u>	☐ Primary Care Physician			ER
	☐ Walk-in Specialty Clinic		Other, e	xplain
	Urgent Care Center		Nowher	e, I have nowhere else to go
	Ambulatory Care Center		I don't k	now
			Prefer n	ot to answer
>>	> 13b: Where (in what type of setting) do you (the patient) prefer to	o get ye	our healt	thcare?
	☐ Here ( <i>this</i> ER)		Other:_	
	☐ ER (any ER)			of matter to me
	☐ PCP/Family Doctor		I don't k	
	☐ Clinic/Health Center:			ot to answer
14.	. Why did you <i>(the patient)</i> come to <u>the</u> ER for care instead of see	-		·
a)	WAIT FOR THE ANSWER THEN CLARIFY IF NECESSARY; IF THEY SHARE ONLY ○  I do not have a Primary care Physician	ONE REA		THEM IF THERE WERE ANY OTHER REASONS uldn't get to see my PCP. Wait too long for
a) b)	☐ This is where I come for my care	1)	appoint	
c)	☐ This was an emergency	j)		cheaper than my PCP/Doctor
d)	☐ I have no health insurance	•		anted a second opinion
				•
e)	Convenience	l)		ow UP visit
f)	☐ Told to come here byMy PCP/Doctor	m)	☐ Oth	er, please specify ( <b>verbatim</b> ):
	Told to come here byNurse	x)	$\Box$ 1 de	on't know
h)	☐ I <u>could not reach my PCP</u> / Doctor. Office closed.	z)		efer not to answer
>>	>> 14b. What is the main reason?			
15.	. Why did you (the patient) decide to come to this Emergency Rowalt For the Answer then Clarify if NECESSARY; if they share only the same of the complete that the complete the complete that the complete the complete that the com			
a)	☐ No other choice, Ambulance brought me (skip Q16).	h)	☐ Past	Experience with this hospital
b)	☐ Closest Hospital to me	i)	☐ Wait	time here is shorter
c)	Good Reputation/ Well known	j)	☐ Othe	er, please explain ( <b>verbatim</b> ):
d)	☐ I came here because I have no health insurance	•	_	, ,
e)	☐ I always come here	v)		on't know
f)	☐ My medical records are at this hospital	x) z)		efer not to answer
g)	☐ My doctor told me to go to this ER	2)		rei not to answer
> >	> > <i>15b</i> . What is the main reason?			
16.	. How did you (the patient) get to the hospital/Emergency Room?	>		
	☐ Ambulance Brought Me		By car	(Personal Car / Ride from Family / Friend /
	☐ Walked here		,	Taxi / Car service)
	☐ Public Transportation (Bus/Train/ Access a Ride)			· · · · · · · · · · · · · · · · · · ·
			Other:	

#### SCHOOL OF PUBLIC HEALTH, SUNY DOWNSTATE- BROOKLYN HEALTHCARE IMPROVEMENT PROJECT (B HIP)

17. If you are comfortable with this, can ye	ou share with me the main	medical reason(s) you	(the patient) came to the ER?
( <u>check up to 3</u> )			
☐ Accident	☐ (You/Patient were	e) Hurt by someone	☐ Surgery Follow up
☐ Alcohol/Drug Use	☐ Joint or Muscle P	•	☐ Toothache
☐ Breathing problems	☐ Maternity Care		☐ Other, <i>please explain:</i>
Chest Pain	☐ Need a prescripti	on filled or refilled	_ carer, produce emprarim
☐ Fever	Skin Rash or Skir		
☐ Flu/Cold	Sore Throat	TT TODICITI	
☐ Headache, Dizziness	☐ Stomach pains		☐ I don't know
Treadactie, Dizziriess	☐ Stornach pains	☐ Prefer not to answer	
>>> 17b. How long have you (the patient)	been sick or hurt with the	health problem that bro	ought you here today?
[The duration of symptoms for the pr	roblem that actually caused	the patient to come to the	e ER today.]
☐ Under 3 hours	25 – 48 hours	Less than 3 month	s (>1) Several years
☐ 3 – 6 hours [	☐ 3 – 7 Days	☐ 3 to 6 months	☐ I don't know
☐ 6 – 12 hours	A week to a month	6 months to a year	Prefer not to answer
☐ 12 – 24 hours			
18. Did you (the patient) talk to a medical p PROFESSIONAL OR NOT!	professional before coming	-	JRE THEY SPOKE TO A MEDICAL
Yes, Type:		□ NO (skip to Q19)	
☐ Doctor ☐ Nurse		I don't know (skip t	
OTHER		☐ Prefer not to answe	er (skip to Q19)
>>> 18b. If you (the patient) spoke to a ho	ealth care professional, die	d you see them in perso	on or speak to them by phone?
>>> 18c. What kind of place was it?			
☐ Clinic/Health Center (specify)	□ Nurse Hot Line	Г	] I don't know
	☐ MD Hot Line		Prefer not to answer
Private Doctor's Office.	Other (specify)		
<del>_</del>			
>>> 18d. What did the doctor/nurse tell y	ou to do?		
19. Where did you (the patient) last get yo	ur care outside of an Eme	rgency Room?	
☐ Private Doctor / PCP	Other		☐ I don't know
☐ Clinic/Health Center	☐ I always use ER		☐ Prefer not to answer
	·		
IF THEY ANSWERED YES TO	QUESTION 12 ON PAGE 2 SI	CIP NEXT QUESTION AND G	O TO QUESTION 21
20. Have you (the patient) ever sought care	e at a PCP?	No I don't know	☐ Prefer not to answer
21. Is there a Walk in Clinic in your (the pa	atient's) neighborhood?		
Yes (name/location):		□ No □ I do	on't know
22. If you are comfortable sharing this, In the	e last 12 months, have you	(the patient) been admi	tted to a hospital?
☐ Yes.	☐ No ( <b>enc</b>	d survey)	☐ I don't know (end survey)
Can you tell me how many times:	·		☐ Prefer not to answer (end survey)
>>> 22b. Is the visit today related to that/		□ No □ I don't know	<del>_</del>
⊏na survey	r, make sure t	o ulalik par	ucipaiit!

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#### Brooklyn Healthcare Improvement Project

#### **Emergency Department Staff Survey**

In order to improve the use of our Emergency Department and the delivery of services to our community, the Brooklyn Healthcare Improvement Project is asking for your help to complete the attached survey. The survey has been designed and approved by hospital leadership including several Chairs/Directors of Emergency Services from six hospital partners and their respective Institutional Review Boards: Brookdale University Hospital, InterFaith Medical Center, Kings County Hospital, Kingsbrook Jewish Medical Center, University Hospital of Brooklyn at SUNY Downstate, and Woodhull Hospital.

The survey is anonymous. We understand that you all have busy schedules, however your insight and feedback are important and we encourage your participation. Please participate and include your unique voice in improving Brooklyn's healthcare.

Kindly complete and Return to:	
--------------------------------	--

Thank you for your participation in this survey in advance. We trust your insight and feedback will facilitate a more improved system of service.

If you have any questions about B-HIP or the survey, please contact Ms. Priya Pasram at 718-270-2723 between 9:00 am and 5:00 pm weekdays or email her at priya.pasram@downstate.edu.

The Brooklyn Healthcare Improvement Project (B-HIP) is focused on improving healthcare delivery in central/northern Brooklyn, and involves a coalition of 33 organization including local hospitals, community health organizations, labor unions, major insurance carriers, senior citizen centers, local government, pharmaceutical companies and others working with the New York State Department of Health.

#### (NOTE: this survey has 4 pages)

1. Where do you work?			
a. Brookdale University Hospita	I	□ d.	Kingsbrook Jewish Medical Center
☐ b. Interfaith Medical Center		☐ e.	Woodhull Medical Center
☐ c. Kings County Hospital		☐ f.	University Hospital of Brooklyn
2. What is the length of your shift?			
a. 8 hours	☐ b. 10	) hours	☐ c. 12 hours
3. What Shift did you work today? (Che	oose the a	nswer that most clo	sely applies)
a. Morning: 7 am - 3 pm		☐ d.	Day: 9 am - 5 pm
☐ b. Midday: 12 pm - 8 pm		☐ e.	Night: 12 am – 8 am
☐ c. Evening: 3 pm – 11 pm			
4. Do you have a rotating shift? (Does	your start ti	me change from day	to day?)
☐ a. No			
☐ b. Yes - I have a different shift eve	ery time I wo	ork	
c. Yes - My schedule changes from	m week to v	veek	
d. Yes - My schedule changes eve	ery few wee	eks	
5. What is your position?			
☐ a. CNA	☐ g.	EMT	☐ m. Phlebotomist
☐ b. Clerk - Admission	☐ h.	Nursing - LPN	n. Social Worker
☐ c. Clerk - Unit	☐ i.	Nursing - RN/MSN	o. Tech - Respiratory
d. Doctor - Intern	☐ j.	Nursing - NP/FNP	☐ p. Tech - X-Ray
e. Doctor - Resident		PA	z. Other (please specify)
f. Doctor - Attending	☐ I.	PCT	
6. Do you interact directly with patients	s?		
a. Yes b. No			
IF YOU SELECT <b>NO</b> , PLEASE GO TO QUE	STION 17 AN	ND CONTINUE WITH THE	SURVEY.

7. A	7. Approximately, how many patients do you see in one shift? (e.g.: 15)									
a w	8. What percent of the cases you see daily <i>are</i> 0 - 5% 6 - 10% 11 - 25% 26 - 50% 51 - 75% 100%									
a.	EMERGENCY?	0-3/6	0-10%		20-30%	31-73/6	100 /6			
b.	Urgent Care?									
C.	CHRONIC DISEASE?									
d.	PRIMARY CARE?									
Z.	OTHER (PLEASE SPECIFY)									
9. H	9. How do most patients pay for their care?									
	a. Self Pay/No Insurance	L		mily Health						
	b. Medicare	L	_	ild Health F						
	C. Medicaid	L	_	vate Insura	ince					
		L	」g. Ido	on't know						
10.	n your opinion, what is the education level of mo	ost of the	e patients	s you see?	•					
	a. No formal schooling		d. Hig	ıh School						
	☐ b. Grade School		e. So	me College	•					
	☐ c. Some High School		] f. Un	iversity/Gra	aduate Stu	dy				
11. In your opinion, what percentage of your patients consulted a nurse/doctor before coming to the emergency department (ED)?										
	a. 5 - 10% of the patients I see daily	L	_	- 75%						
	□ b. 11 - 25% 	L		- 100%						
	☐ c. 26 - 50% ☐ q. Not Applicable to my position									
12. How many of your patients have seen a Primary Care Physician (PCP) in the last year?										
	a. 5 - 10% of the patients I see daily		] d. 51	- 75%						
	☐ b. 11 - 25%		e. 76	- 100%						
	□ c. 26 - 50%		q. No	t Applicable	e to my pos	sition				

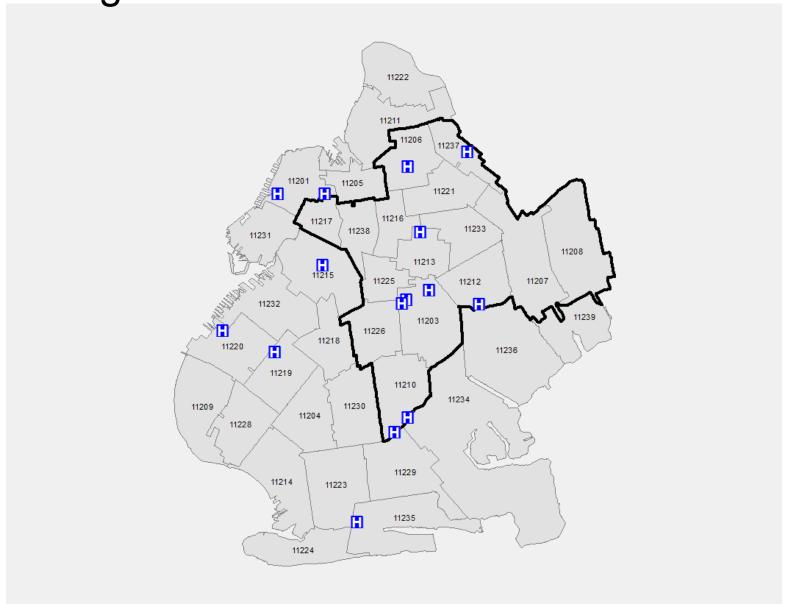
☐ a.	5 - 10% of the patients I see daily	□ d.	51 - 75%
□ b.	11 - 25%	☐ e.	76 - 100%
☐ c.	26 - 50%	☐ q.	Not Applicable to my position
. In you	r opinion, what percent of your pa	tients should have be	een seen by a PCP instead of the ED?
□ a.	5 - 10% of the patients I see daily	☐ d.	51 - 75%
□ b.	11 - 25%	□ e.	76 - 100%
☐ c.	26 - 50%	☐ q.	Not Applicable to my position
In vou	r opinion, what options do your pa	atients have for receiv	ving primary care?
	ou may choose up to 2 answers)	ments have for receiv	mig primary care:
П а.	Primary Care Physician	c. Mobile Clinic	☐ e. None
	•	d. Hospital-based	<del>_</del>
			CIINIC
	Other ( <i>please specify</i> ):		
	Other ( <i>please specify</i> ):	nts come to this ED f	
	Other ( <i>please specify</i> ):	nts come to this ED f	
In you	Other ( <i>please specify</i> ):r opinion, the reason(s) your patie (You may choose up to 3 answe	nts come to this ED f	or primary care is/are:
In you	Other ( <i>please specify</i> ): r opinion, the reason(s) your patie  (You may choose up to 3 answe No Insurance	nts come to this ED f	or primary care is/are:  Patient has no PCP
In you	Other ( <i>please specify</i> ):  r opinion, the reason(s) your patie  (You may choose up to 3 answe  No Insurance  Receive better care	nts come to this ED f rs)	or primary care is/are:  Patient has no PCP  No appointment available with PCP/Clinic
a.   b.   c.	Other ( <i>please specify</i> ):  r opinion, the reason(s) your patie  (You may choose up to 3 answe  No Insurance  Receive better care  Closest location to receive care	nts come to this ED f rs)	or primary care is/are:  Patient has no PCP  No appointment available with PCP/Clinic PCP office is closed
. <b>In you</b>	Other ( <i>please specify</i> ):  r opinion, the reason(s) your patie  (You may choose up to 3 answe  No Insurance  Receive better care  Closest location to receive care  Easier access to comprehensive care	nts come to this ED f  rs)  f. g. h. are j.	or primary care is/are:  Patient has no PCP  No appointment available with PCP/Clinic PCP office is closed  Referred by PCP  Patient has nowhere else to go

		<mark>y ONE answer)</mark>					
	a. Overcrowding		☐ e.	Delays with b	olood and la	b services	i
	b. Not enough staff		☐ f.	Inefficient wo	rkflow		
	c. Too many patients see	king primary care	☐ g.	Inpatient adm	nitting		
	d. Delays with scanning a	and imaging	☐ h.	Too few oper	n beds on m	nedicine/su	ırgery ur
	z. Other ( <i>please specify</i> )						
8. In	your opinion, is your depa	artment adequately s	staffed with	-		YES	NO
١.	Doctors?						
).	Nurses?						
	Physician Assistants?						
	Social Workers?						
١.	CLERKS?						
	CLERKS? TRANSPORTERS?						
-	CLERKS? TRANSPORTERS? TECHNICIANS?						
e	CLERKS? TRANSPORTERS?		<u>.</u> verall efficie	ncy in your d	epartment		
e	CLERKS? TRANSPORTERS? TECHNICIANS? OTHER (PLEASE SPECIFY) relation to patient care over	er the last year, the o	<u>.</u> verall efficie	ncy in your d	•	has	
. In r	CLERKS? TRANSPORTERS? TECHNICIANS?  OTHER (PLEASE SPECIFY)  relation to patient care over a. Worsened  w would you improve patient	er the last year, the o	<u>.</u> verall efficie	ncy in your d	•	has	
. In r	CLERKS? TRANSPORTERS? TECHNICIANS?  OTHER (PLEASE SPECIFY)  relation to patient care over a. Worsened  w would you improve patient would you improve patient care over a.	er the last year, the o	verall efficie	ncy in your do	c. Improved	has	
i. In r	CLERKS? TRANSPORTERS? TECHNICIANS?  OTHER (PLEASE SPECIFY)  relation to patient care over a. Worsened  w would you improve patient	er the last year, the o	verall efficienged	ncy in your do	c. Improved	has	

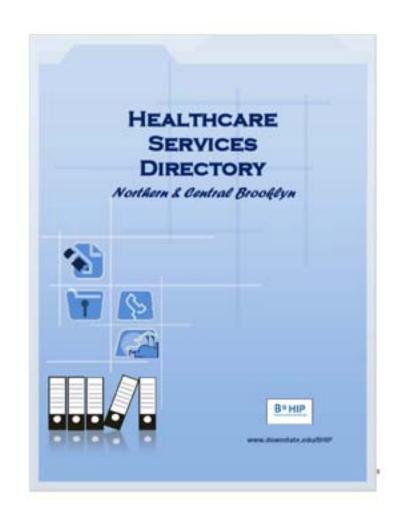
# Appendix 6A

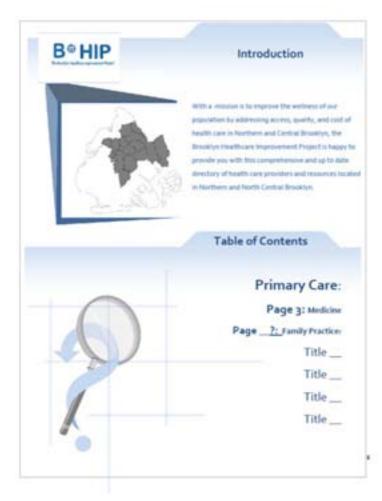
**Canvassing Study** 

### Target Area For B-HIP Studies

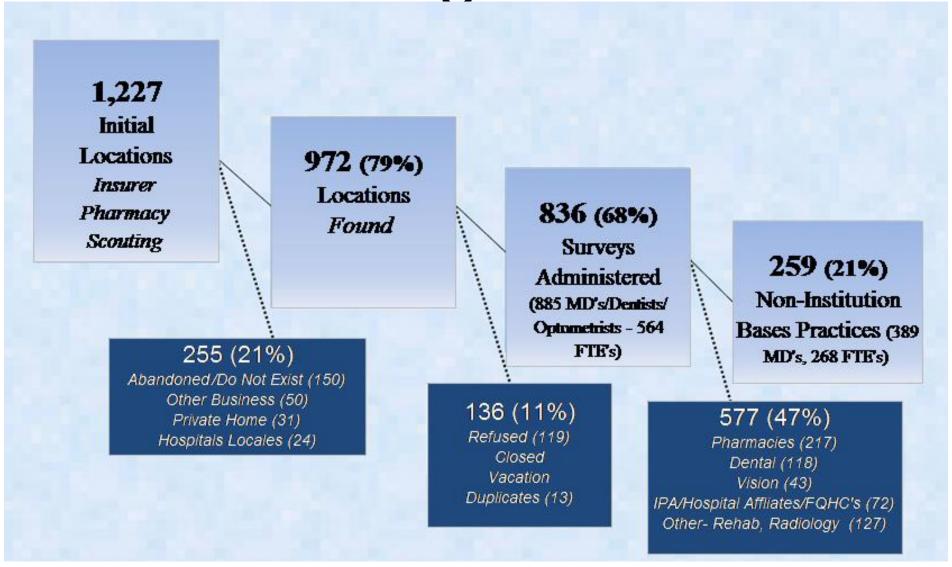


## **Provider Directory**





## Canvassing Locations



# Appendix 6B

Emergency Department Patient Surveys

# ED Patient Survey Captured (combined Survey 1 and 2)

Hospital ERs where survey conducted	All Visits (for time period survey(s) conducted	Asked	% Asked of All ER users	Surveyed (respondees)	% Surveyed of All ER users
Brookdale	7,088	2,951	42%	1,819	26%
Downstate	5,323	3,257	61%	2,410	45%
Interfaith	3,800	2,287	60%	1,598	42%
Kings County	10,091	4,134	41%	2,799	28%
Kingsbrook	2,950	2,249	76%	1,498	51%
Woodhull	5,849	2,428	42%	1,530	26%
Totals	35,101	17,306	49%	11,654	33%

• Woodhull, Round 1- unable to survey 24/7

### ED Patient Survey Characteristics - Race

o NYC: 8.2mil

o Bklyn: 2.5mil

Study Area

• 1.05mil

42% of Brooklyn

13% of NYC

#### Asian/PI includes:

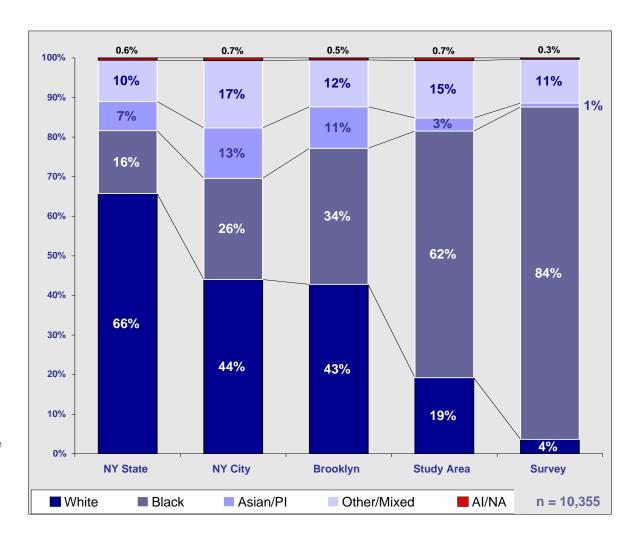
Asian Indian, Chinese, Filipino, Japanese, Korean, Vietnamese, etc.

#### • AI/NA includes:

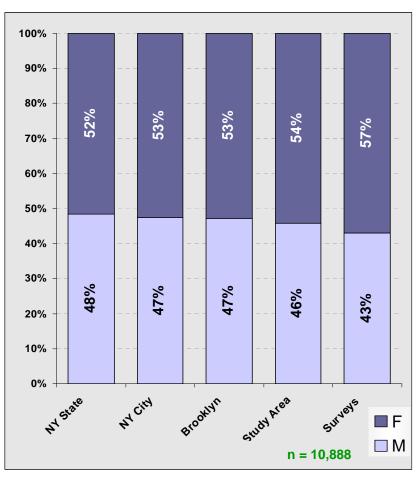
American Indian, Native Alaskan, Native Hawaiian, Guamanian, Samoan.

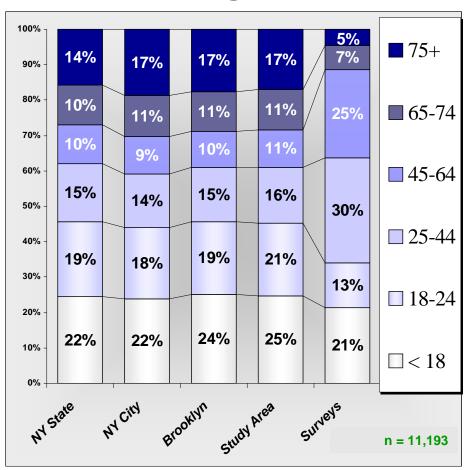
#### o Other/Mlxed:

Two or more Races or Some other self Identified Race

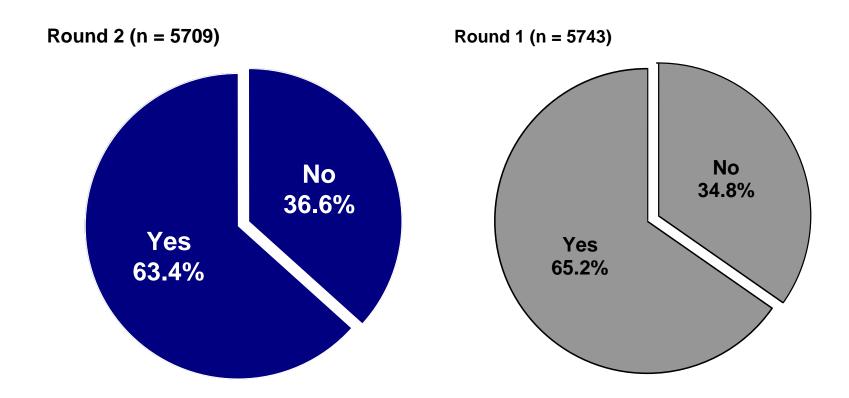


### ED Patient Survey Characteristics – Gender & Age

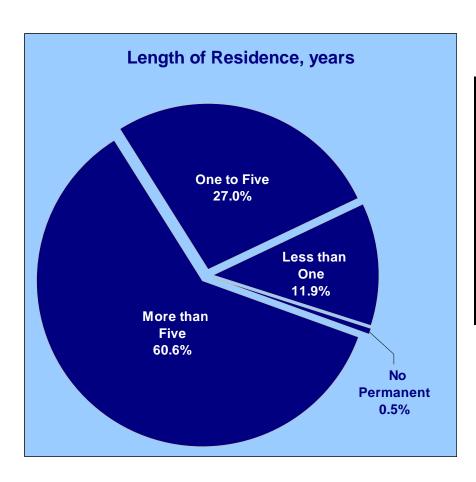




### Born in the US?

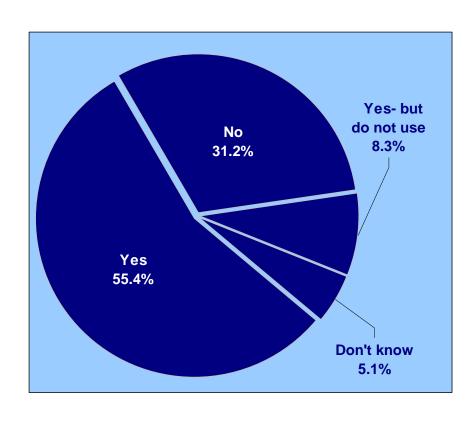


#### ED Patient Survey – Transience



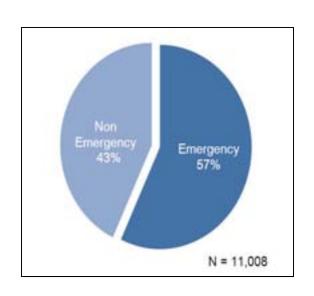
Length of time at current address, years				
Group	No. Responses	% Insured	% w/ PCP	% w/PCP that Do not Use
More than Five	6,676	82%	64%	13%
One to Five	2,976	80%	63%	12%
Less than One	1,312	72%	50%	14%
No Permanent	54	35%	24%	8%
Totals	11,018	80%	62%	13%

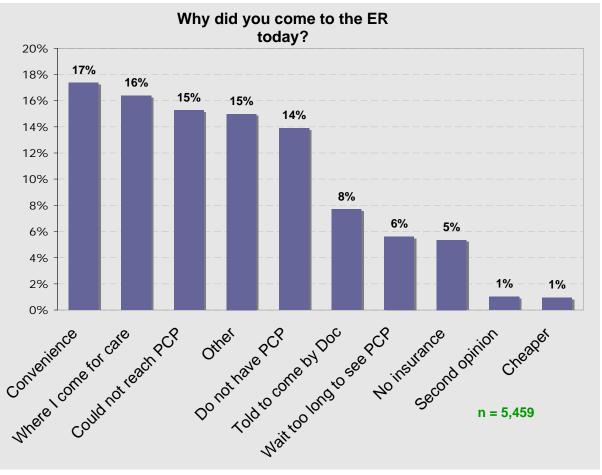
## ED Patient Survey Do you have a PCP or Family Doctor?



Have a PCP? (% by Ins Type)				
Ins Type	l don't know	No	Yes (incl. DNU)	Total
Commercial	3%	17%	81%	2,124
Medicaid	8%	28%	64%	3,144
Medicare	7%	18%	76%	1,106
CHP/FHP/MMC	3%	14%	83%	2,293
Other	10%	28%	62%	90
Uninsured	2%	80%	17%	1,936
Total	5%	31%	64%	10,693

#### Why did you come to the ER?





## Top "Main Medical Reason" given by the ED Patient Respondents from Survey 1

		% of
Reason	Count	Total
Joint or Muscle Pain	630	11%
Breathing Problems	609	11%
Other	552	10%
Flu / Cold / Fever	541	10%
Stomach pains	521	9%
Headache, Dizziness	441	8%
Accident	438	8%
Chest Pain	428	8%
Total	4,160	73%

#### PCP and Insurance Status by Age

Under 18				
Do You Have a PCP?				
Health Insurance?	alth Insurance? No Yes Total			
NO	4.2%	2.4%	6.6%	
YES	9.5%	83.9%	93.4%	
Total	13.7%	86.3%	2,222	

25 - 64			
Do You Have a PCP?			
Health Insurance? No Yes Total			
NO	20.0%	4.1%	24.0%
YES	19.9%	56.1%	76.0%
Total	39.9%	60.1%	5,516

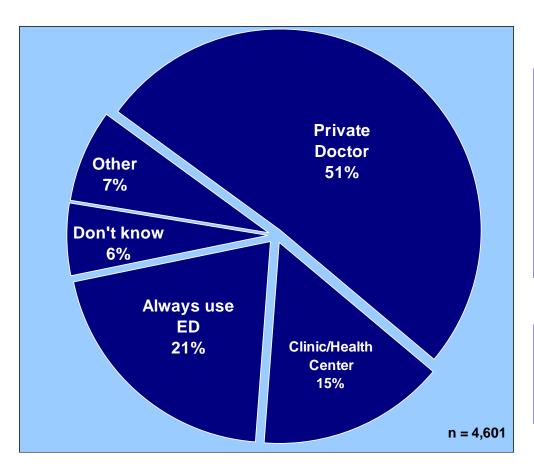
18 - 24			
		Have a P?	
Health Insurance?	No	Yes	Total
NO	20.5%	3.3%	23.7%
YES	26.1%	50.1%	76.3%
Total	46.6%	53.4%	1,251

65 +				
Do You Have a PCP?				
Health Insurance?	No	Yes	Total	
NO	7.5%	1.3%	8.8%	
YES	11.0%	80.3%	91.2%	
Total	18.5%	81.5%	1,165	

## **UHB** Data Validation

Survey Question	UHB Survey Result - Nov 9th Thru Nov 23, 2010	UHB Administrative Data - Nov 9th Thru Nov 23, 2010
Male	38%	36%
Female	62%	64%
Uninsured	10.6%	13.5%
18 - 24 yrs old	11.7%	11.5%
45 - 64 yrs old	19.8%	20.2%
HealthFirst Pts	9%	16%
Empire Pts	8%	5%

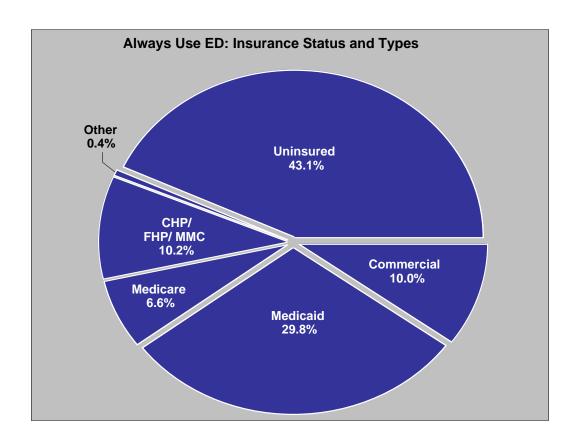
Last get your care outside of an ER?



Always Use Emergency Room (951 respondents)		
Have a PCP/Family Doctor?		
No 71%		
I don't know 12%		
Yes	15%	

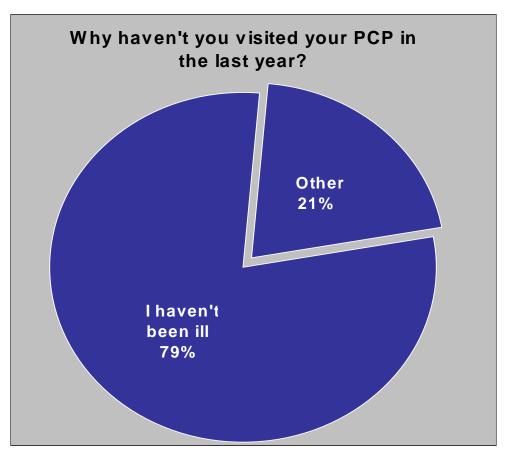
Gender	All Responses	Always Use ED
Female	57%	48%
Male	43%	52%

#### Always use ED: Insurance Status & Type



n = 951

Why haven't you seen your Doc?

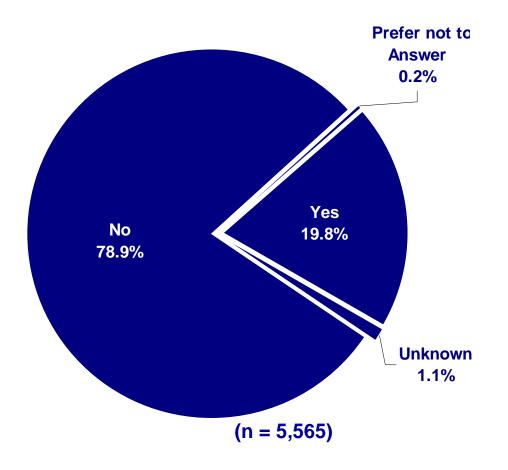


I haven't been ill (868 respondents)		
Insurance Status?		
Insured (676) 78%		
Un- Insured (192) 22%		

Insurer	Total	% Туре
Commercial	134	15%
Medicaid	312	36%
Medicare	77	9%
Managed Care	146	17%
Other	7	1%
Total	676	78%

n = 1,115

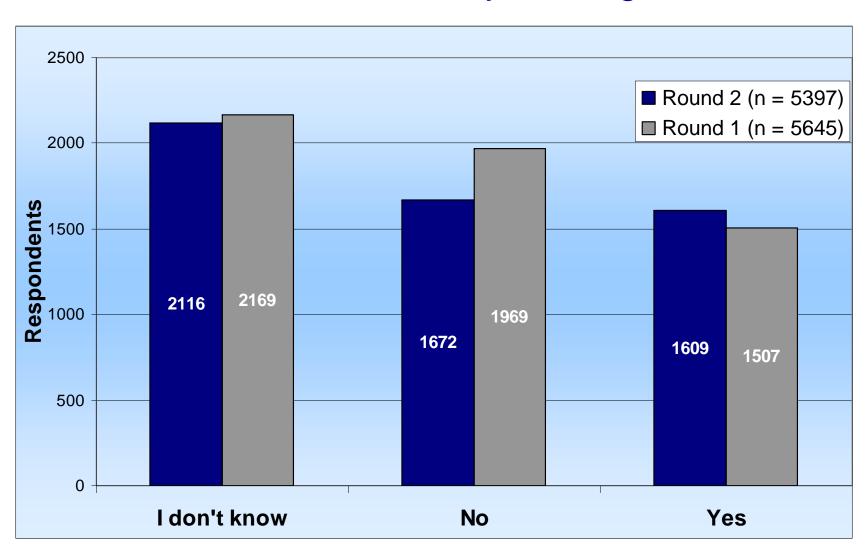
## Admits in last 12 Months



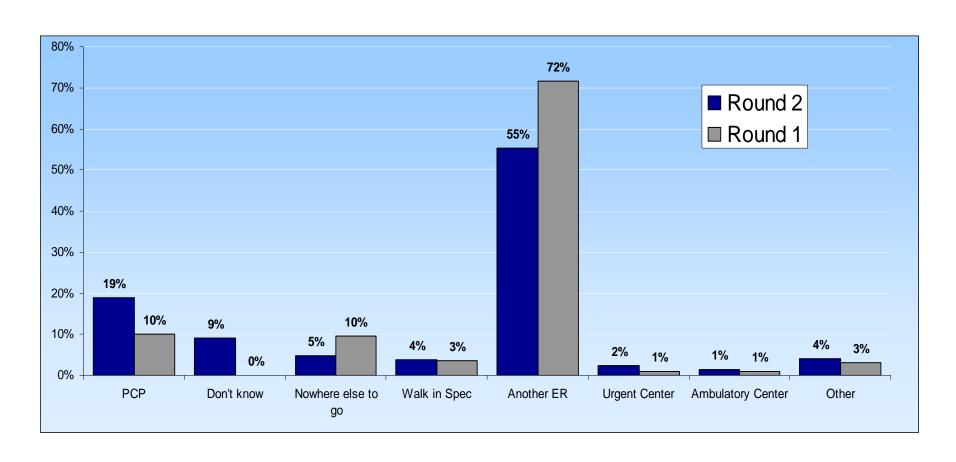
No. Times Admitted	M-
No. Times Admitted	No.
w/in Last 12 Months?	Respondents
Unknown no.	187
One	549
Two	216
Three	84
Four	30
Five	20
Six	10
Seven	2
> Ten	5
total	1,103

<sup>\*</sup> DATA ONLY AVAILABLE FOR ROUND 2

#### Is there a "Walk in Clinic" in your neighborhood?



## Where else would you go?

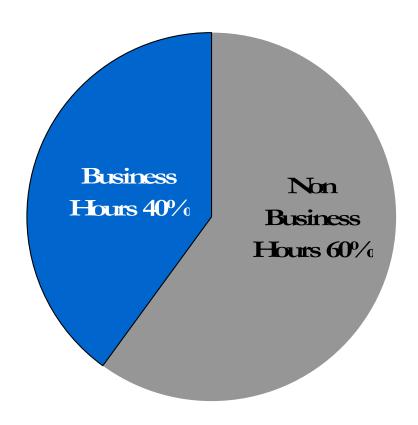


## Non-Emergent Patients

What time did you arrive? 928 responded they arrived

during business hours (8am to 5pm, Mon to Fri)

Assumptions: Your PCP is open or Primary Care should be accessible



n = 2,362

# Top Non-Emergency Responses

Issues around
Convenience,
Preference for ED,
and PC wait times
account for ½ of
Non Emergency
Visits

	Business	Non Business
Response	Hours	Hours
Convenience	27%	32%
No PC	14%	11%
Told by Doctor	13%	5%
Insurance Issue	11%	7%
Too long for PC	11%	6%
PC Closed	9%	22%
Where I come	5%	12%
Other	9%	6%

# **Business Hour Non – Emergency Patients**Where else would you go?

Response	Business Hours
Another ER	55%
PCP	22%
Nowhere else/Don't know	13%
Other	10%

People appear to prefer going to the Emergency Department even when primary care options could be available.

n = 522

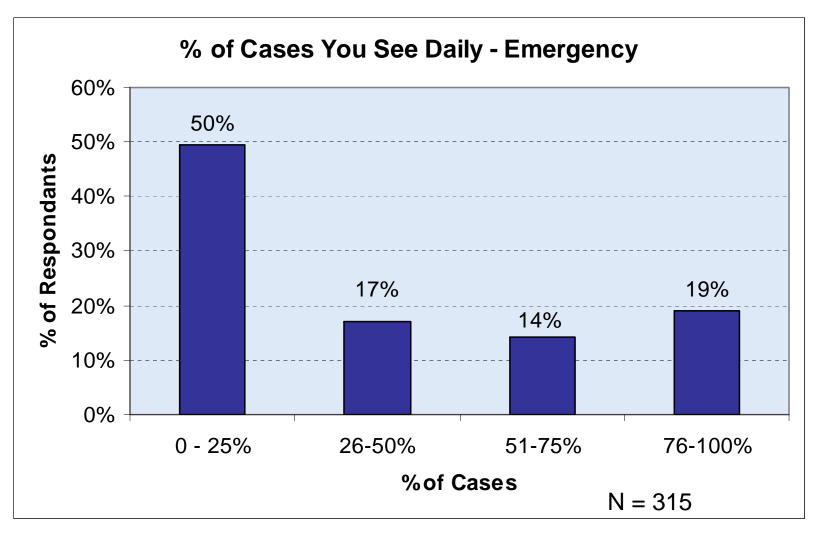
## Appendix 6C

**Emergency Department Staff Survey** 

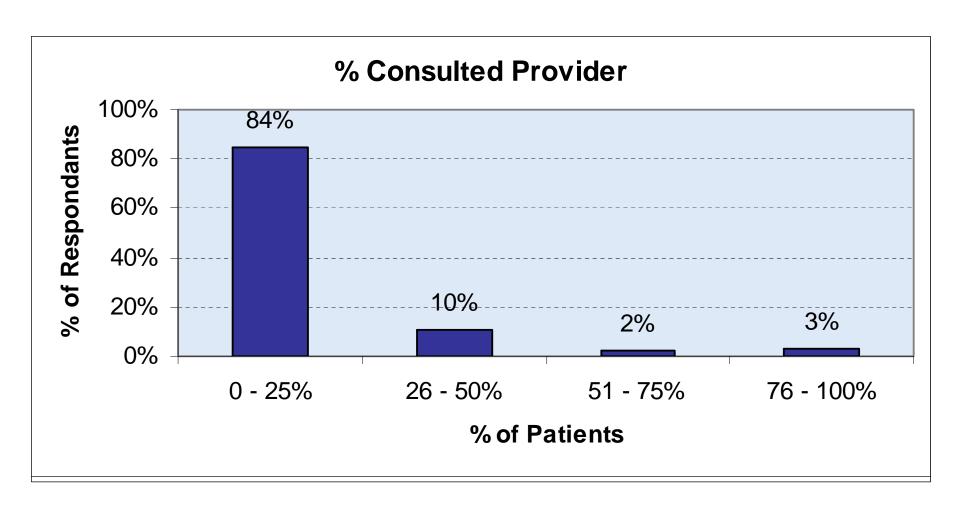
## Staff Survey Response

Location	<u>Total</u>	Staff Count (estimate)	Response Rate
Brookdale University Hospital	81	190	42.6%
Interfaith Medical Center	49	90	54.4%
Kings County Hospital	64	340	18.8%
Kingsbrook Jewish Medical Center	46	80	57.5%
Woodhull Medical Center	96	200	48.0%
University Hospital of Brooklyn	78	130	60.0%
	414	1,030	40.2%

## **Emergency Cases**

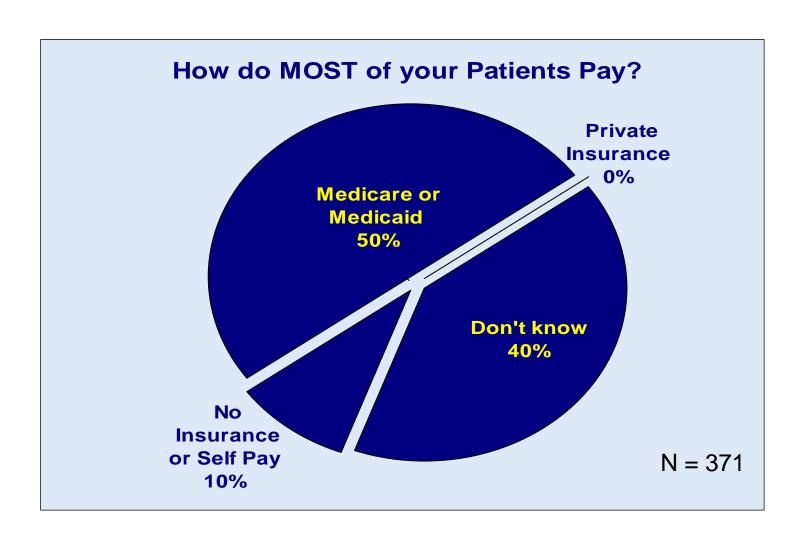


## Consulted Provider



N = 290

#### ED Staff perception of ED patients insurance status



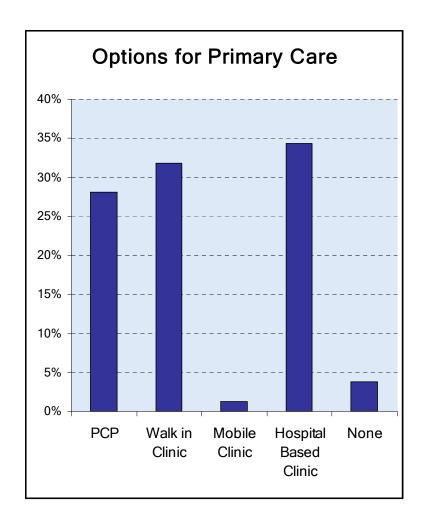
#### ED staff perceived reasons for ED patient visit

Reasons Patients Come to ED for Primary Care - % of ED staff who responded to this question				
No Insurance	25 %			
Patient has no PCP	15			
No Appointment Available with PCP/Clinic	11			
Patient has Nowhere Else to go	11			
Easier Access to Comprehensive Care	10			
Closest Location	9			
Better Care	5			
Referred by PCP	4			
PCP Office Closed	4			
Cheaper for the Patient	4			
Needs Education	1			
Convenience	1			

N = 880

## **Primary Care Options**

In your opinion, what options do your patients have for receiving primary care?						
Response Response count						
PCP	28.1%	156				
Walk in Clinic	31.8%	177				
Mobile Clinic	1.3%	7				
Hospital Based Clinic	34.4%	191				
None	3.8%	21				
Other	0.7%	4				
	n =	<i>556</i>				



## Appendix 6D

Insurance Study

#### **Sample analysis of Health Plan Encounter Data**

	4 Managed Care PLANS - ALL PRODUCTS	% of Ttl MBR IDs
PROFESSIONAL CLAIMS FILES:		
Unique member ID numbers	334,519	
		% of Ttl MBR IDs
members with encounters where Proc1 in EM range and POS = OPD excl 23 [OPD USERS]	296,536	88.6%
members with encounters POS = 23 [ER USERS]	144,691	43.3%
		% of Ttl MBR IDs with ER encounter
ER Users Matched to OPD Users	124,844	86.3%
ER users with no OPD physician enters	19,847	13.7%
INSTITUTIONAL CLAIMS FILES COUNTS		
INPT USERS (BILL TYPE = 111)		% of Inpt Users
MBR IDS WITH ACS ADMITS	3,620	5.8%
MBR IDS WITH NO ACS DX	58,960	94.2%
TOTAL	62,580	100.0%
TOTAL PAID FOR ACS ADMITS	\$20,929,468.00	
AVERAGE COST PER MBR ACS Admission	\$5,782	
		% of ACS Admit Mbrs
MBRS WITH ACS ADMIT AND OPD USER	2,485	68.6%
MBRS WITH ACS ADMIT AND NO OPD	1,135	31.4%
		% of ACS Admit Mbrs
MBRS WITH ACS ADMIT AND ER USER	2,327	64.3%
MBR WITH ACS AND NO ER USER	1,293	35.7%

## Appendix 6E

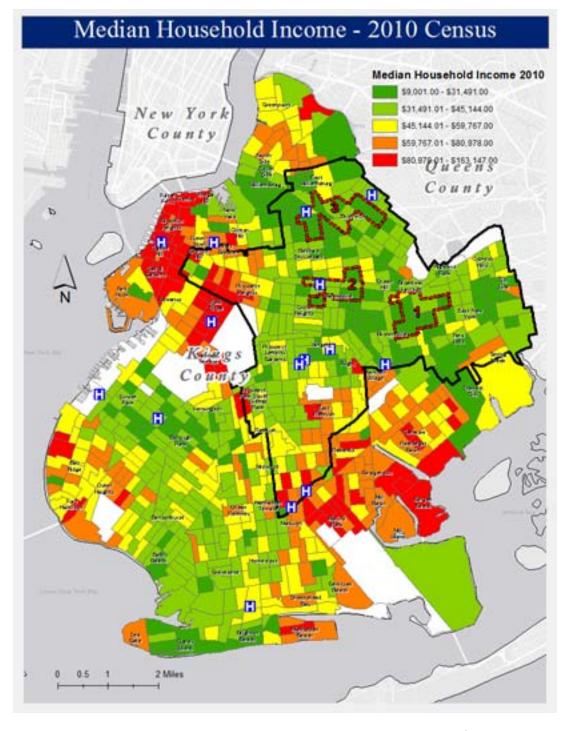
SPARCS Study

# ACSC Avg. Annual ED Visits 07-09 Study Area let Spot Boundary ED Visits - ACSC Age Adj Rates New York County Oueens County

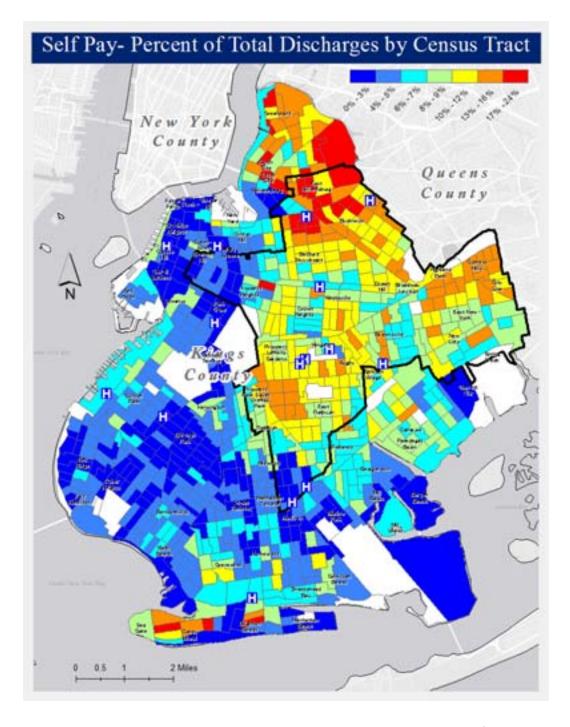
ACSC Average Annual ED Visits (Year 2007-2009) by Census Tracts

# ACSC Avg. Annual Discharges 07-09 Discharges-ACSC Age Adj Rates New York County County

ACSC Average Annual Discharges (Years 2007-2009) by Census Tracts



- 2010 MedianHouseholdIncome
- Dark Green indicatesLower Median Income<\$31.5K</li>
- Dark Red Higher\$80K



#### o SPARCS Data

Average 2007-2009

#### Hot Spots

Red: Higher Rates17 - 24%

• Orange: 13 - 16%

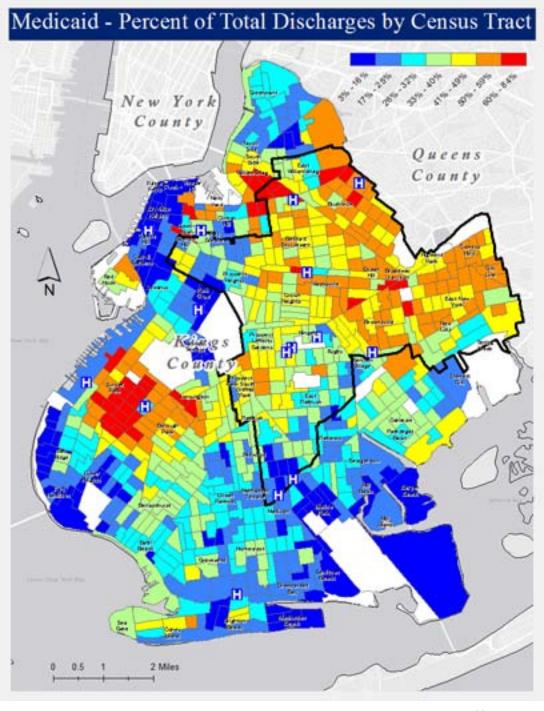
Yellow: 10 - 12%

Bluegreen: 8 - 9%

Aqua: 6 - 7%

• Light blue: 4 - 5%

Dark blue: 0 - 3%

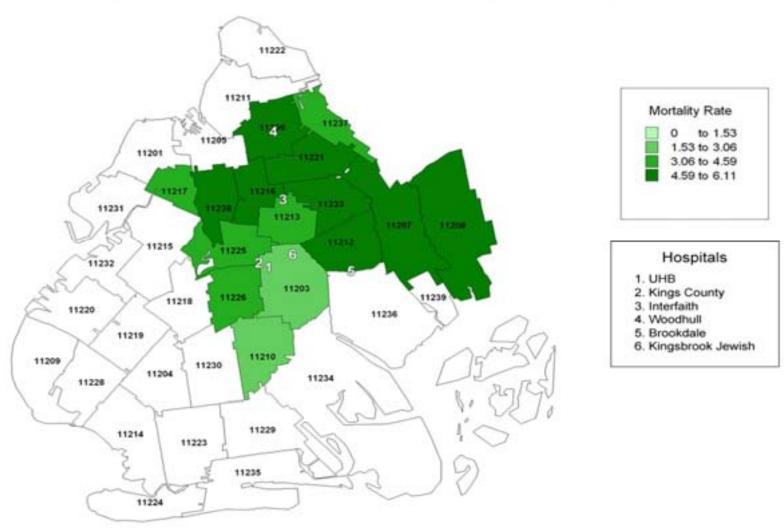


- o SPARCS Data
- Hot Spots
  - Red: Highest Rates 60 - 84%
  - Orange: 50 59%
  - Yellow: 41 49%
  - Bluegreen: 33 40%
  - Aqua: 26 32%
  - Light blue: 17 25%
  - Dark blue: 3 -16%:

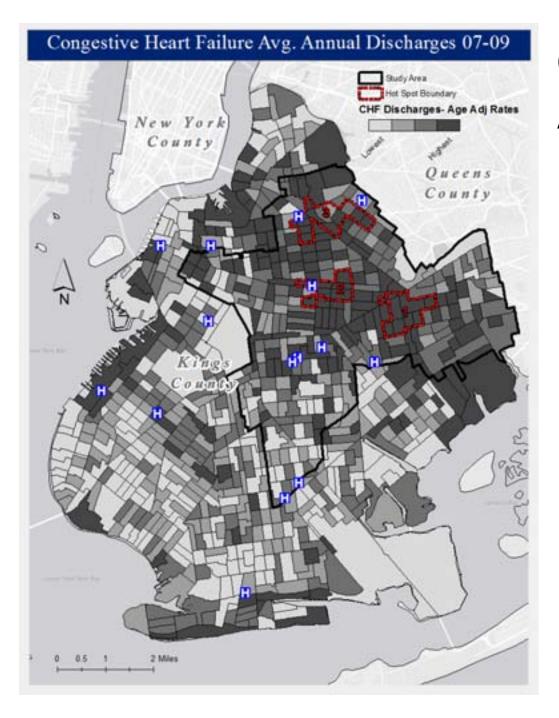
## Premature Mortality, Psychiatry and Drug Related Statistics

	Age Adjusted Annual Discharges Per 1,000 Residents				
SPARCS Data from 2006 - 2008	Premature  Mortality  (Age < 75)  Psych  Alco				
NYC	3.65	6.86	7.18		
Brooklyn	3.56	6.31	5.69		
Brooklyn w/o Study Zips	2.76	4.64	4.00		
15 Zip Code Area	5.36	8.65	8.81		
Sample Disparities	-				
11206 - Williamsburg / Bushwick	5.56	12.4	19.69		
11217 - Park Slope / Gowanus	4.14	9.88	5.7		
11226 - Flatbush	3.67	6.99	3.74		

#### Average Annual Premature Mortality (age <75) Age adjusted



Source: 2004 – 2006 NYC DOHMH, Office of Vital Statistics



CHF Average Annual Discharges (Year 2007-2009) by Census Tracts

#### Binomial Logistic Regression – ACSC discharges in the B-HIP study area

		Variables in the Equation						
						95% C.I.f	for EXP(B)	
independent variable	В	S.E.	Wald	df	Sig.	Exp(B)	Lower	Upper
female	-0.21	0.006	1440.097	1	0	0.811	0.802	0.82
age	0.013	0	8864.782	1	0	1.013	1.013	1.013
latino	0.394	0.009	2098.066	1	0	1.484	1.459	1.509
black	0.485	0.007	4686.888	1	0	1.624	1.601	1.646
asian	-0.054	0.014	15.19	1	0	0.947	0.922	0.973
other	0.163	0.008	399.206	1	0	1.178	1.159	1.197
omitted is white								
selfpay	0.256	0.014	328.675	1	0	1.292	1.257	1.329
Medicare	0.144	0.008	365.23	1	0	1.155	1.138	1.172
Medicaid	-0.091	0.008	140.429	1	0	0.913	0.899	0.927
othgovt	0.037	0.06	0.382	1	0.537	1.038	0.923	1.166
omitted is commercial insurance								
highest quartile of CTs with residents								
without H.S. diploma	0.192	0.007	844.655	1	0	1.211	1.196	1.227
number of dx (measure of severity of								
illness)	-0.004	0.001	21.01	1	0	0.996	0.994	0.998
CT with lowest income quartile	0.042	0.006	47.866	1	0	1.043	1.031	1.056
CT with highest number of residents								
not speaking english well	-0.106	0.008	197.231	1	0	0.9	0.887	0.913
Constant	-2.523	0.009	71107.98	1	0	0.08		

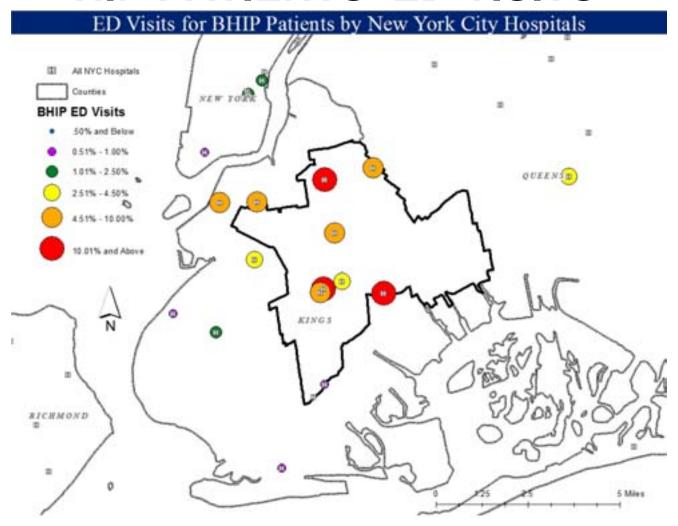
<u>Column Headings:</u> B=coefficient S.E.=Standard Wald=Wald statistic df=degrees of freedom Sig. =significance Exp(B)=Odds Ratio

#### Binomial Logistic Regression – ACSC/potentially preventable ED visits in B-HIP study area

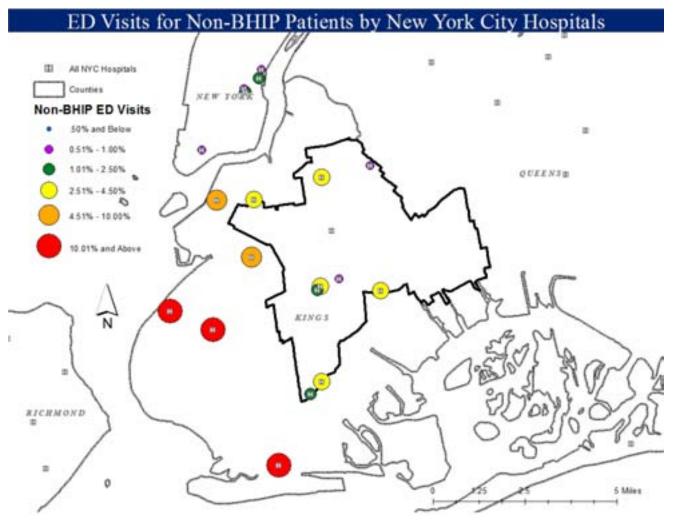
						95% C.I.f	or EXP(B)
	В	S.E.	Wald	Sig.	Exp(B)	Lower	Upper
Age	-0.017	0.000	18117.076	0.000	0.984	0.983	0.984
Female	-0.374	0.005	6767.705	0.000	0.688	0.682	0.694
Latino	0.075	0.008	98.847	0.000	1.078	1.062	1.095
Black	0.056	0.006	84.511	0.000	1.057	1.045	1.070
Asian	-0.067	0.016	17.673	0.000	0.935	0.906	0.965
Omitted is Caucasian							
ACS discharge rate by							
census tract	0.006	0.000	580.681	0.000	1.006	1.006	1.007
Highest unemployment							
quartile	-0.006	0.005	1.449	0.229	0.994	0.985	1.004
Lowest income quartile	0.022	0.005	20.260	0.000	1.022	1.012	1.032
Quartile with highest rate of							
population without high							
school diploma	0.101	0.006	265.501	0.000	1.106	1.093	1.119
Lowest rate of college							
graduates quartile	0.004	0.005	0.814	0.367	1.005	0.995	1.014
Highest rate of vacant							
housing quartile	0.064	0.006	101.349	0.000	1.066	1.053	1.079
Medicare	0.358	0.012	856.313	0.000	1.430	1.396	1.464
Medicaid	0.089	0.005	286.603	0.000	1.093	1.081	1.104
Uninsured	-0.004	0.007	0.408	0.523	0.996	0.983	1.009
Omitted is commercial							
insurance							
Quartile of households not							
speaking English well	0.018	0.009	4.284	0.038	1.018	1.001	1.036
Constant	-0.540	0.010	2907.931	0.000	0.583		

<u>Column Headings:</u> B=coefficient S.E.=Standard Wald=Wald statistic df=degrees of freedom Sig. =significance Exp(B)=Odds Ratio

#### **B-HIP PATIENTS' ED VISITS**



## **NON-B-HIP PATIENTS' ED VISITS**



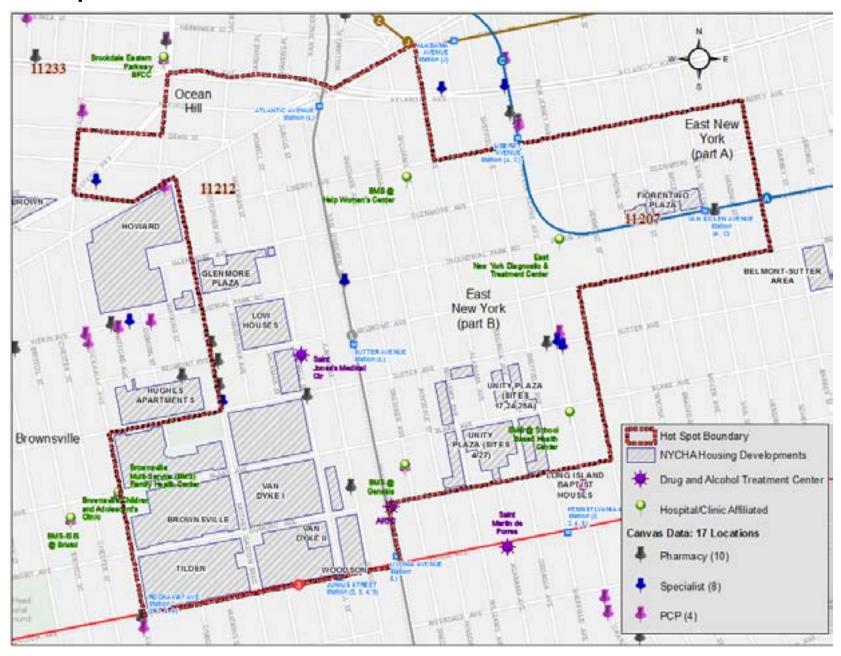
# Appendix 6F

**Hot Spots** 

## ACSC / ED Utilization by Age Group

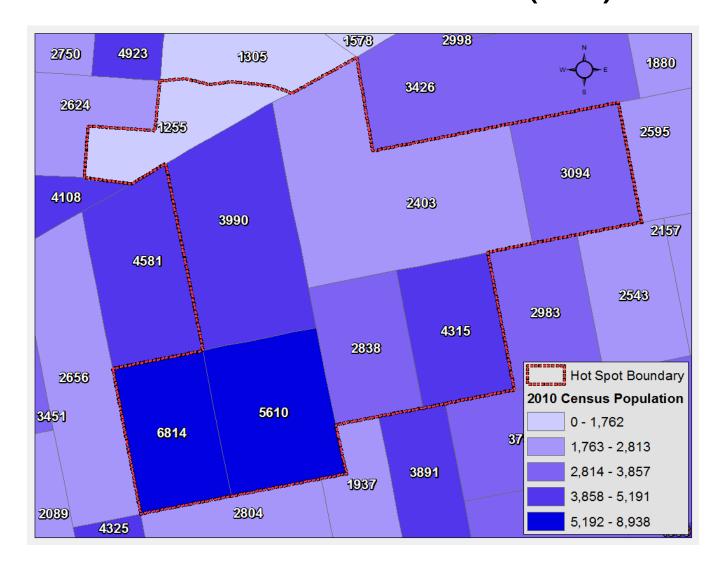
Age Group	Hot Spot #1	Hot Spot #2	Hot Spot #3	BHIP Study Area	Non-BHIP Study Area	Brooklyn Total	
Age Specific ACSC Disch Rate / 1,000							
Under 18 years	22	30	30	18	8	13	
18 to 24 years	14	16	12	7	4	6	
25 to 44 years	29	24	17	11	6	9	
45 to 64 years	70	51	56	29	16	23	
65 years and over	134	117	142	76	66	71	
Age Specific ED Visits (w/o adm) Rate / 1,000							
Under 18 years	592	550	776	483	237	350	
18 to 24 years	720	629	624	483	260	367	
25 to 44 years	768	581	545	397	208	292	
45 to 64 years	577	448	557	328	162	234	
65 years and over	321	283	414	235	150	182	

## Hot Spot #1 – Brownsville / East New York



# Brownsville / East New York (#1)

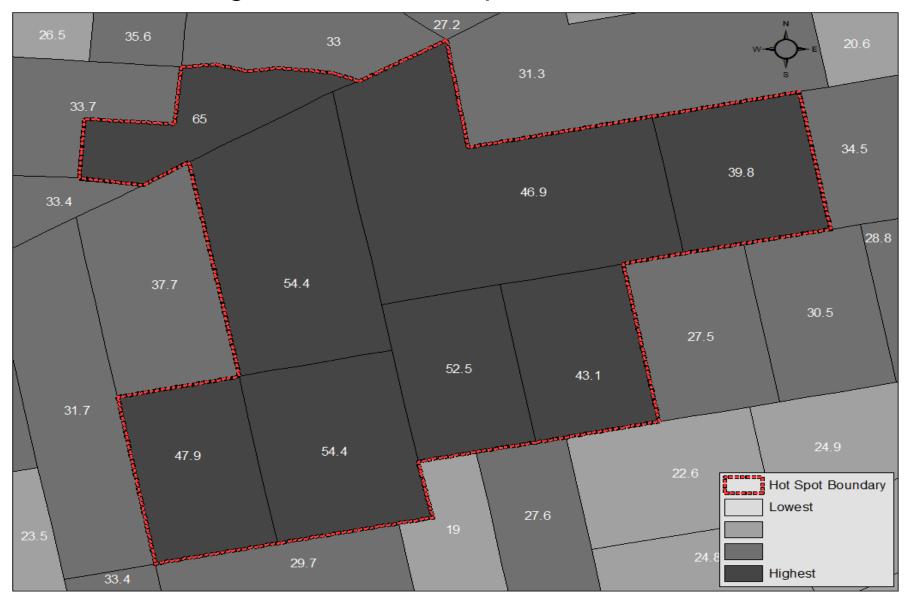
Hot Spot #1 Total Population 30,319



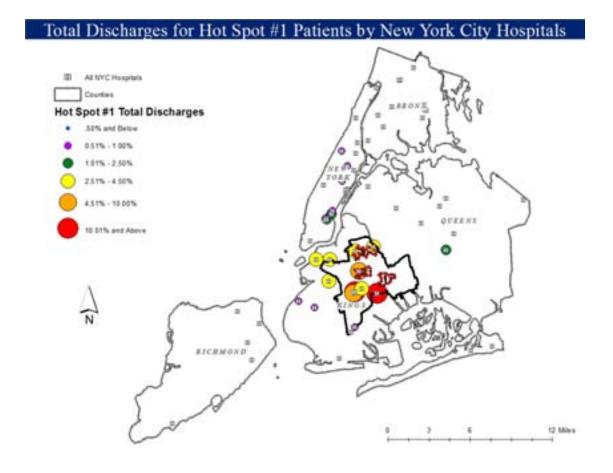
#### HotSpot #1

	Top 20 Primary Diagnoses - ACS Discha	arges 2007 -	2009
		3 Year	% of Total
Pri Dx	Description	Count	ASC D/C's
4280	CHF NOS	355	9.2%
486	PNEUMONIA, ORGANISM NOS	326	8.5%
49392	ASTHMA NOS W (AC) EXAC	302	7.9%
49322	CH OBST ASTH W (AC) EXAC	231	6.0%
34590	EPILEP NOS W/O INTR EPIL	185	4.8%
25080	DMII OTH NT ST UNCNTRLD	143	3.7%
49391	ASTHMA W STATUS ASTHMAT	134	3.5%
25013	DMI KETOACD UNCONTROLD	130	3.4%
49121	OBS CHR BRONC W(AC) EXAC	125	3.3%
42823	AC ON CHR SYST HRT FAIL	124	3.2%
6826	CELLULITIS OF LEG	124	3.2%
5589	GASTROENTERITIS	100	2.6%
27651	HYPOVOLEMIA/dehydration	98	2.6%
25002	DMII WO CMP UNCNTRLD	89	2.3%
78039	CONVULSIONS NEC	87	2.3%
42833	AC ON CHR DIAST HRT FAIL	64	1.7%
4019	HYPERTENSION NOS	60	1.6%
42821	AC SYSTOLIC HRT FAILURE	58	1.5%
25012	DM	55	1.4%
42831	CHF	53	1.4%
Subtotal		2,843	74.0%
ACS D/0	C 2007 - 2009	3,842	

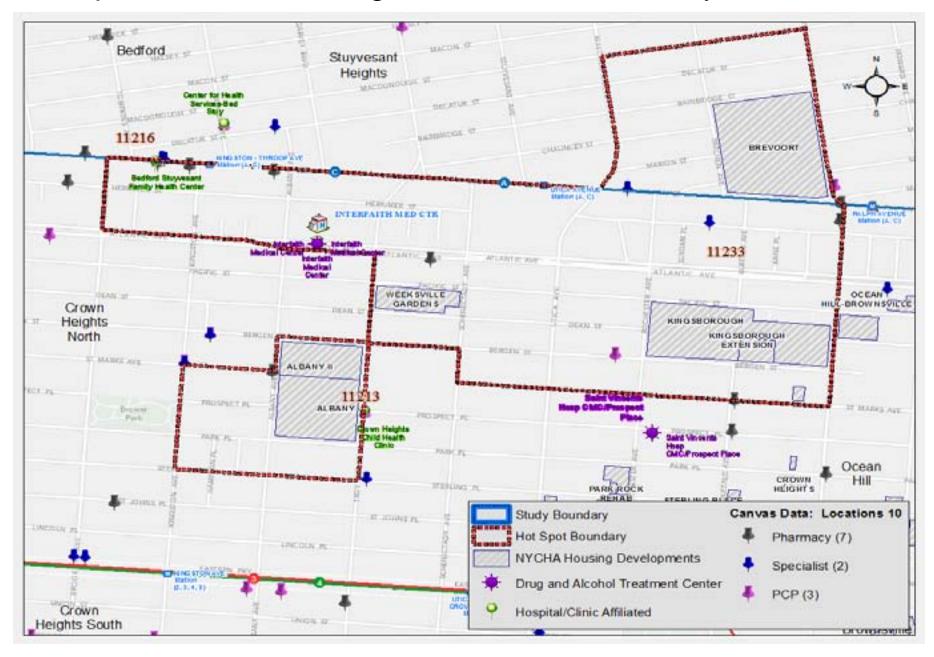
## ACSC Discharges/1,000 – Hot Spot #1



## **HOT SPOT #1 DISCHARGES**

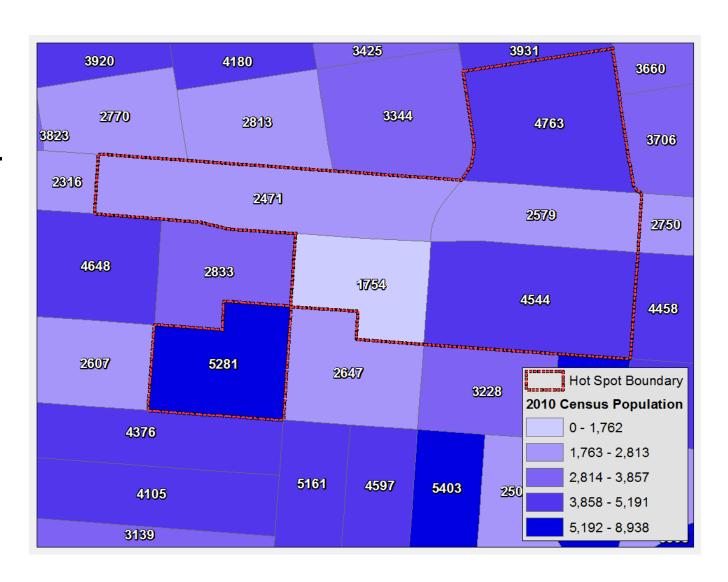


### Hot Spot #2 – Crown Heights North/ Bedford Stuyvesant



## Hot Spot #2 - Crown Heights/Bed Stuy

Total Population-21,392



#### HotSpot #2

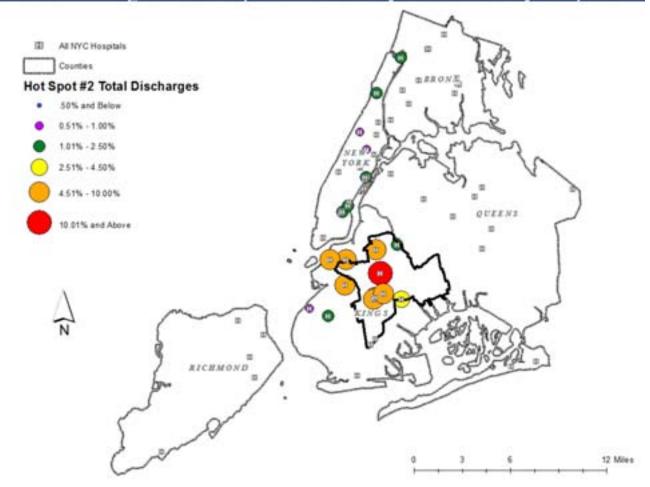
Top 20 Primary Diagnoses - ACS Discharges 2007 -2009				
		3 Year	% of Total	
Pri Dx	Description	Count	ASC D/C's	
486	PNEUMONIA, ORGANISM NOS	250	9.6%	
49392	ASTHMA NOS W (AC) EXAC	244	9.4%	
4280	CHF NOS	184	7.1%	
49322	CH OBST ASTH W (AC) EXAC	116	4.5%	
27651	HYPOVOLEMIA	113	4.4%	
34590	EPILEP NOS W/O INTR EPIL	111	4.3%	
49121	OBS CHR BRONC W(AC) EXAC	98	3.8%	
6826	CELLULITIS OF LEG	94	3.6%	
4019	HYPERTENSION NOS	93	3.6%	
5589	GASTROENTERITIS	93	3.6%	
78039	CONVULSIONS NEC	76	2.9%	
25002	DMII WO CMP UNCNTRLD	74	2.9%	
25080	DMII OTH NT ST UNCNTRLD	74	2.9%	
49391	ASTHMA W STATUS ASTHMAT	68	2.6%	
25013	DIABETES	50	1.9%	
42821	AC SYSTOLIC HRT FAILURE	49	1.9%	
4660	ACUTE BRONCHITIS	41	1.6%	
25082	DMII OTH NT ST UNCNTRLD	33	1.3%	
4659	ACUTE URI NOS	32	1.2%	
42823	CHF	31	1.2%	
Subtotal ACS D/C 2007 - 2009		1,924 2,594	74.2%	

## ACSC Discharges/1,000 - Hot Spot #2

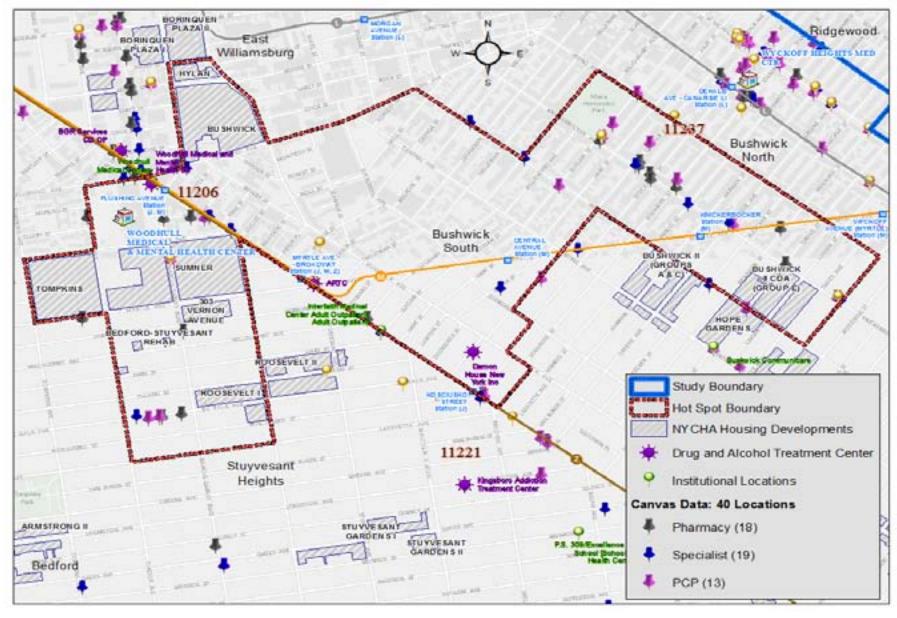


## **HOT SPOT #2 DISCHARGES**

Total Discharges for Hot Spot #2 Patients by New York City Hospitals

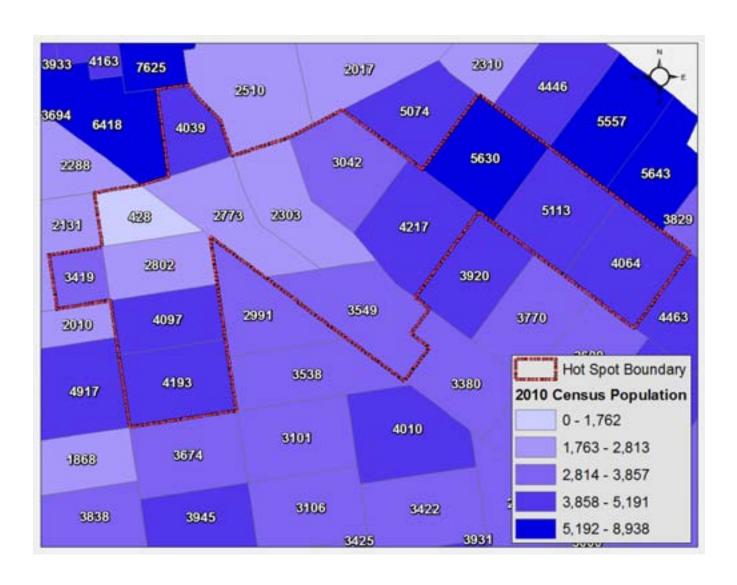


#### Hot Spot #3 – Bushwick / Stuy Heights



## Hot Spot #3 - Bushwick / Stuy Heights

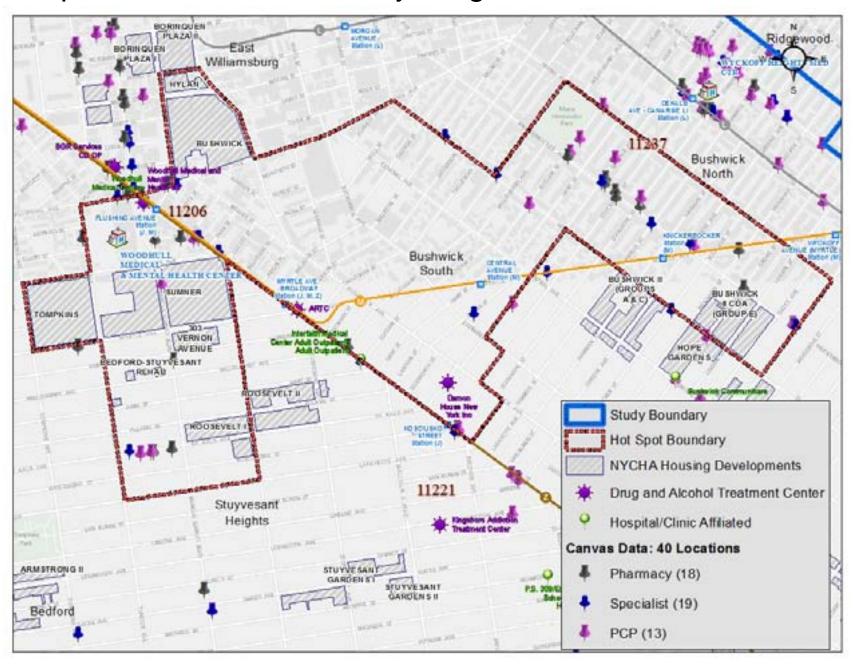
Total Population - 49,699



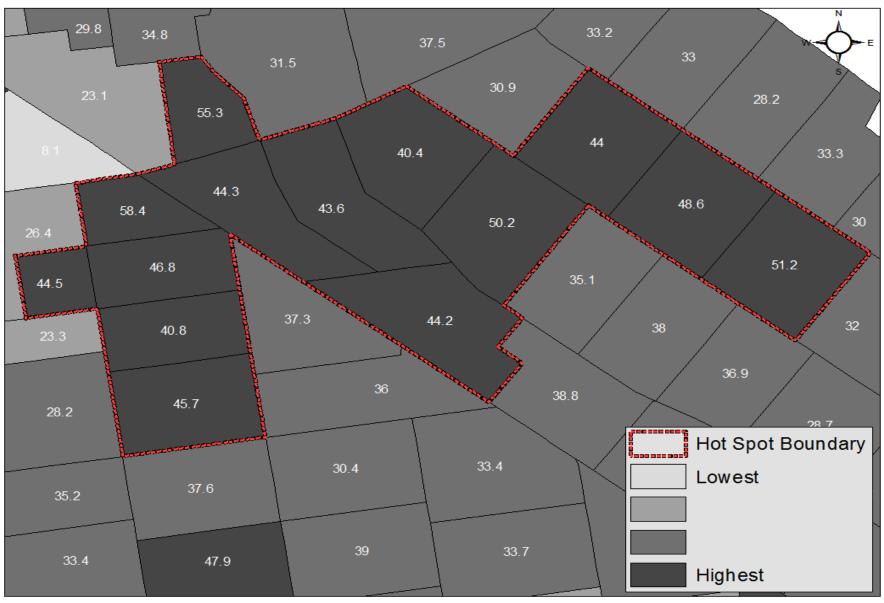
#### HotSpot #3

Top 20 Primary Diagnoses - ACS Discharges 2007 -2009				
		3 Year	% of Total	
PriDx	Description	Count	ASC D/C's	
49392	ASTHMA NOS W (AC) EXAC	660	11.9%	
486	PNEUMONIA, ORGANISM NOS	499	9.0%	
4280	CHF NOS	364	6.6%	
5589	GASTROENTERITIS	338	6.1%	
6826	CELLULITIS OF LEG	283	5.1%	
78039	CONVULSIONS NEC	237	4.3%	
49322	CH OBST ASTH W (AC) EXAC	230	4.1%	
27651	HYPOVOLEMIA/dehydration	182	3.3%	
34590	EPILEP NOS W/O INTR EPIL	167	3.0%	
25002	DMII WO CMP UNCNTRLD	164	3.0%	
25080	DMII OTH NT ST UNCNTRLD	127	2.3%	
49121	OBS CHR BRONC W(AC) EXAC	126	2.3%	
49391	ASTHMA W STATUS ASTHMAT	120	2.2%	
4019	HYPERTENSION NOS	115	2.1%	
25013	DMI KETOACD UNCONTROLD	101	1.8%	
25082	DMII OTH NT ST UNCNTRLD	99	1.8%	
59010	AC Py\YELONEPHRITIS	85	1.5%	
4111	UNSTABLE ANGINA	84	1.5%	
25012	DM	82	1.5%	
42823	AC ON CHR SYST HRT FAIL	78	1.4%	
Subtotal ACS D/C 2007 - 2009		4,141 5,553	74.0%	

### Hot Spot #3 – Bushwick / Stuy Heights



### ACSC Discharges/1,000 - Hot Spot #3



## **HOT SPOT #3 DISCHARGES**

Total Discharges for Hot Spot #3 Patients by New York City Hospitals

